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# Nonlinear Modulated Envelope Electrostatic Wavepacket Propagation in Space and Laboratory Plasmas

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# Outline

## ❑ Introduction

- *Amplitude Modulation*: a rapid overview of notions and ideas;
- Relevance with space and laboratory plasmas;
- *Intermezzo: Dusty Plasmas (or Complex Plasmas)*.

## ❑ The model: electrostatic wave description and formalism

- A pedagogical paradigm: Ion–acoustic waves (IAWs);
- Other examples: EAWs, DAWs, ...

## ❑ The reductive perturbation (*multiple scales*) technique.

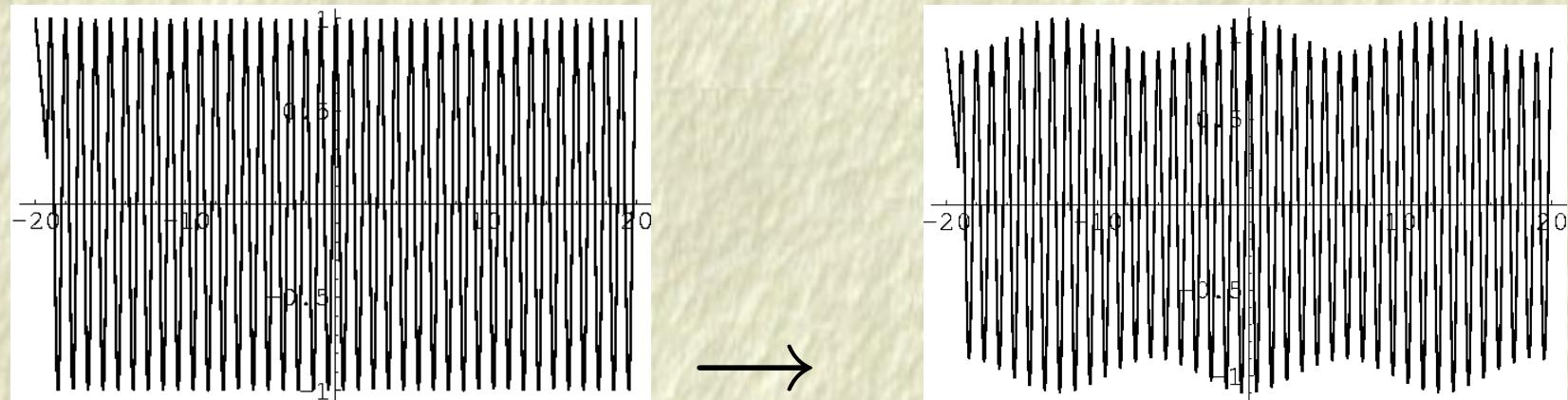
## ❑ *Harmonic generation and Modulational Instability (MI)*.

## ❑ *Envelope excitations*: theory and characteristics.

## ❑ Conclusions.

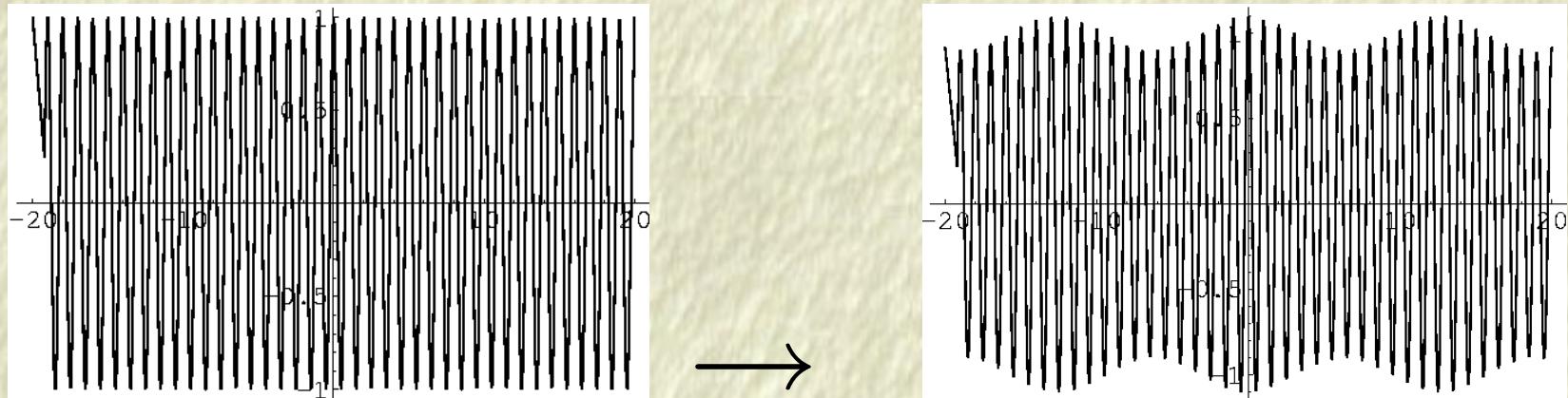
## 1. Intro. The mechanism of **wave amplitude modulation**

The **amplitude** of a harmonic wave may vary in space and time:

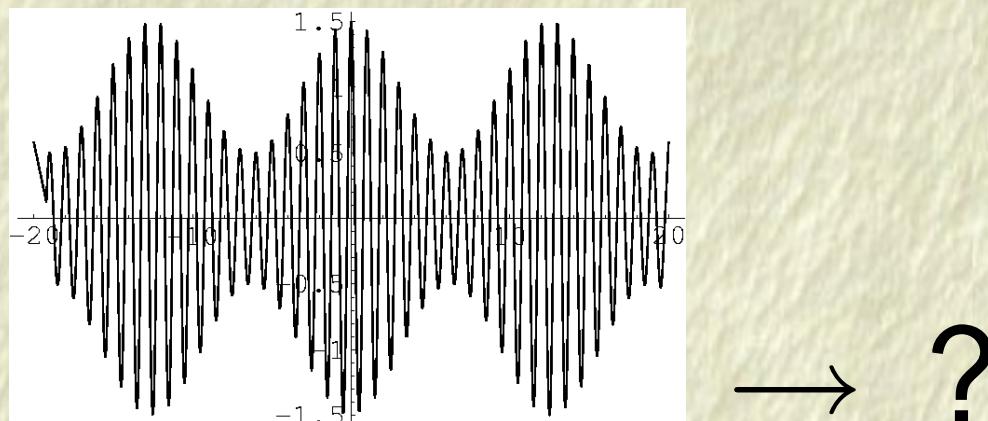


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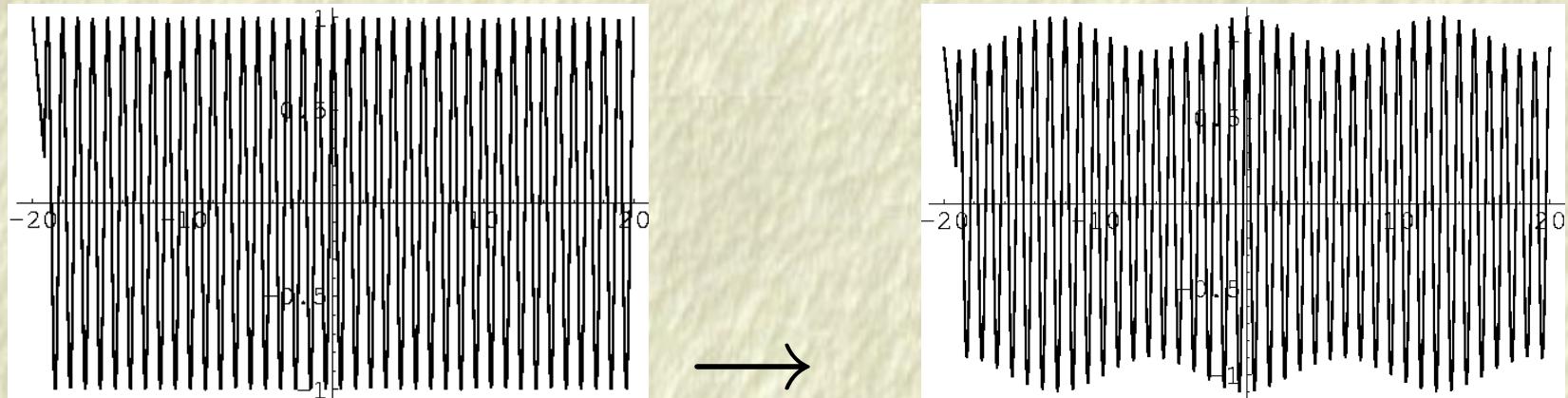


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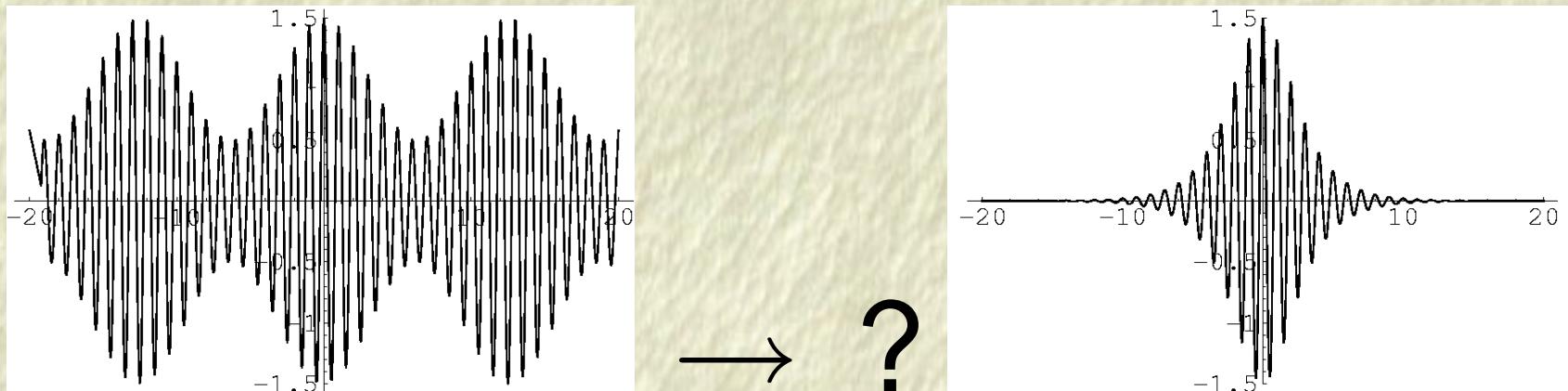


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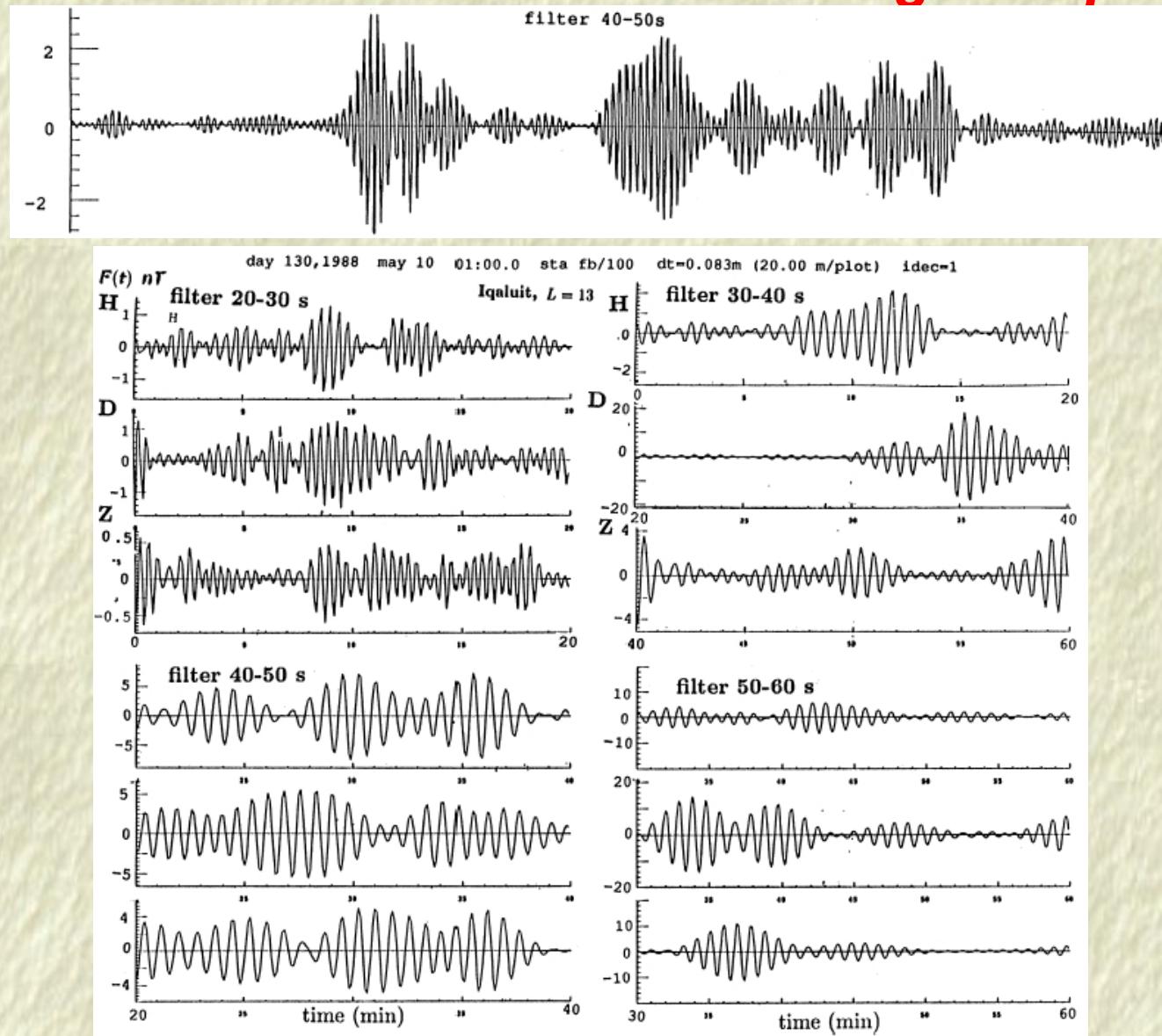
The **amplitude** of a harmonic wave may vary in space and time:



This **modulation** (due to nonlinearity) may be strong enough to lead to wave **collapse** or to the formation of **envelope solitons**:



## Modulated structures occur in the magnetosphere, ...

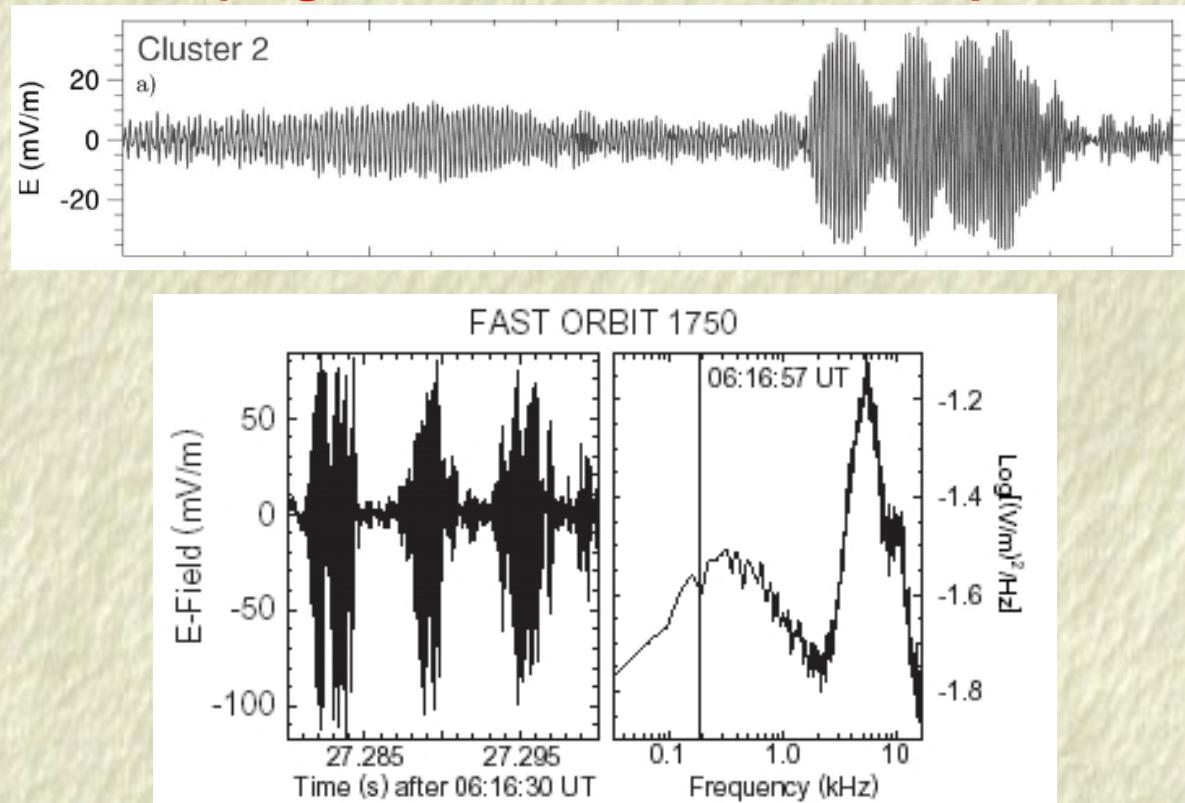


(from: [Ya. Alpert, Phys. Reports 339, 323 (2001)])

[www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf](http://www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf)

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*..., in satellite (e.g. CLUSTER, FAST, ...) observations:*



**Figure 2.** *Left:* Wave form of broadband noise at base of AKR source. The signal consists of highly coherent (nearly monochromatic frequency of trapped wave) wave packets. *Right:* Frequency spectrum of broadband noise showing the electron acoustic wave (at  $\sim 5$  kHz) and total plasma frequency (at  $\sim 12$  kHz) peaks. The broad LF maximum near 300 Hz belongs to the ion acoustic wave spectrum participating in the 3 ms modulation of the electron acoustic waves.

(\*) From: O. Santolik et al., JGR **108**, 1278 (2003); R. Pottelette et al., GRL **26** 2629 (1999).

[www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf](http://www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf)

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**Modulational instability (MI) was observed in simulations,**  
e.g. early (1972) numerical experiments of EM cyclotron waves:

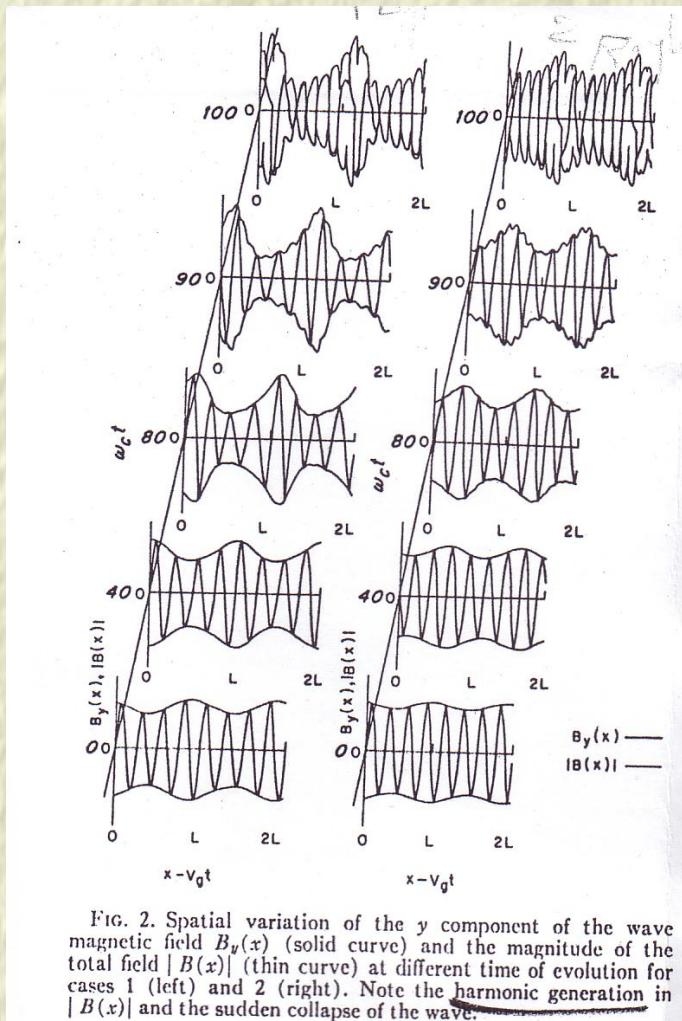


FIG. 2. Spatial variation of the  $y$  component of the wave magnetic field  $B_y(x)$  (solid curve) and the magnitude of the total field  $|B(x)|$  (thin curve) at different time of evolution for cases 1 (left) and 2 (right). Note the harmonic generation in  $|B(x)|$  and the sudden collapse of the wave.

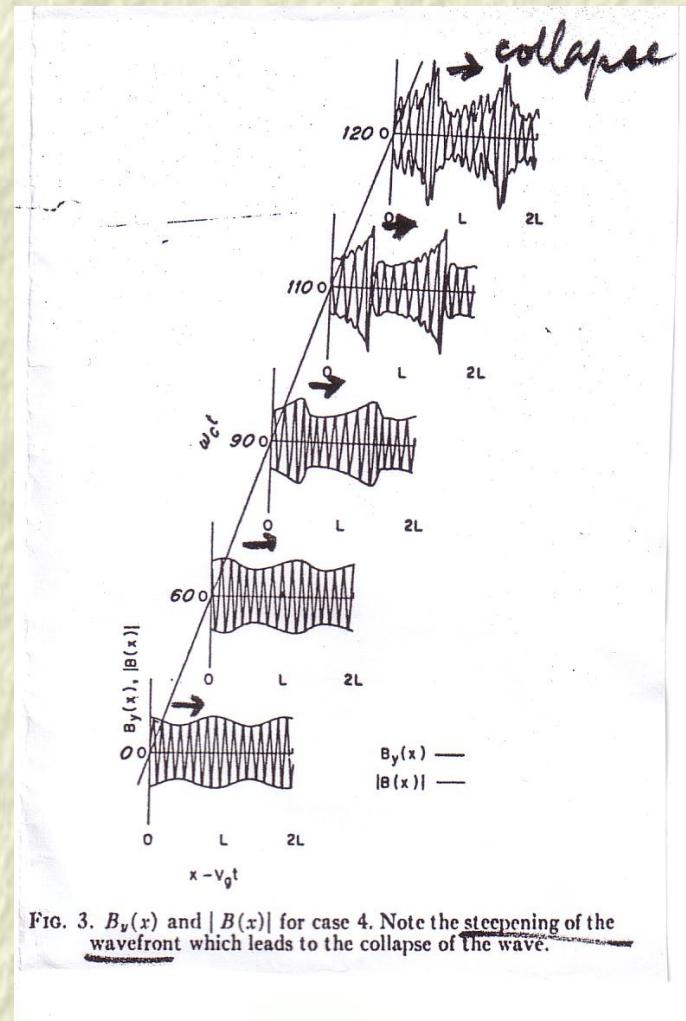


FIG. 3.  $B_y(x)$  and  $|B(x)|$  for case 4. Note the steepening of the wavefront which leads to the collapse of the wave.

[from: A. Hasegawa, *PRA* 1, 1746 (1970); *Phys. Fluids* 15, 870 (1972)].

## Spontaneous MI has been observed in experiments,:<sup>2</sup>

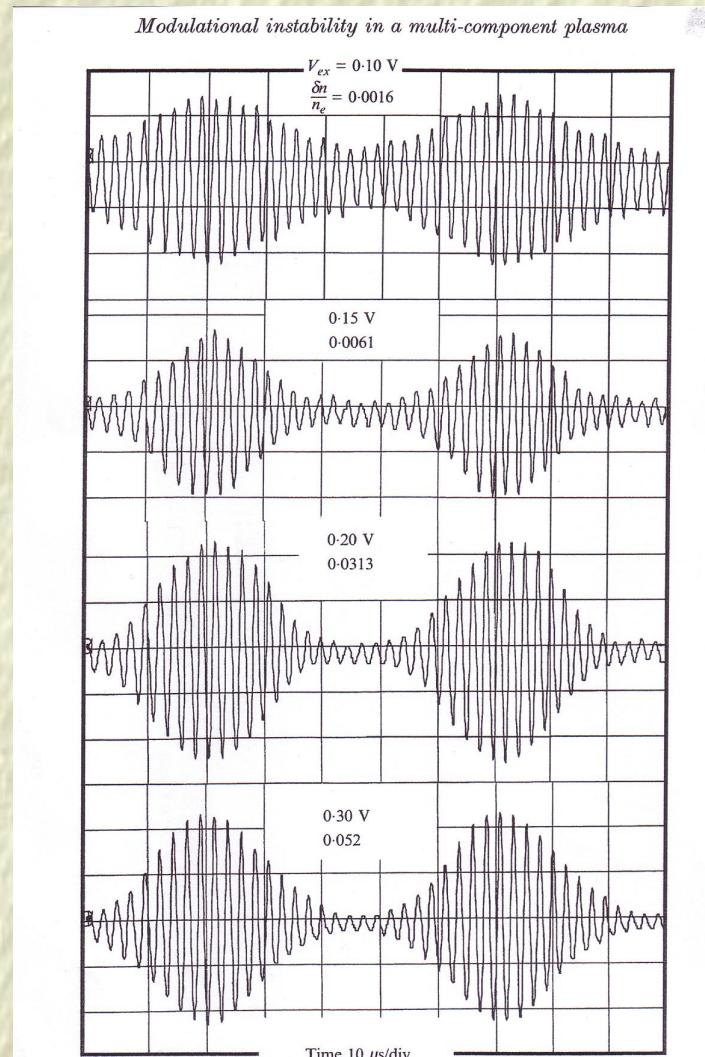


FIGURE 5. Oscilloscope traces of the detected signal for different excitation voltages.  
The probe was fixed at 14 cm from the grid.  $f_c = 400 \text{ kHz}$  and  $f_m = 50 \text{ kHz}$ .

e.g. on *ion acoustic waves*

[from: Bailung and Nakamura, *J. Plasma Phys.* **50** (2), 231 (1993)].

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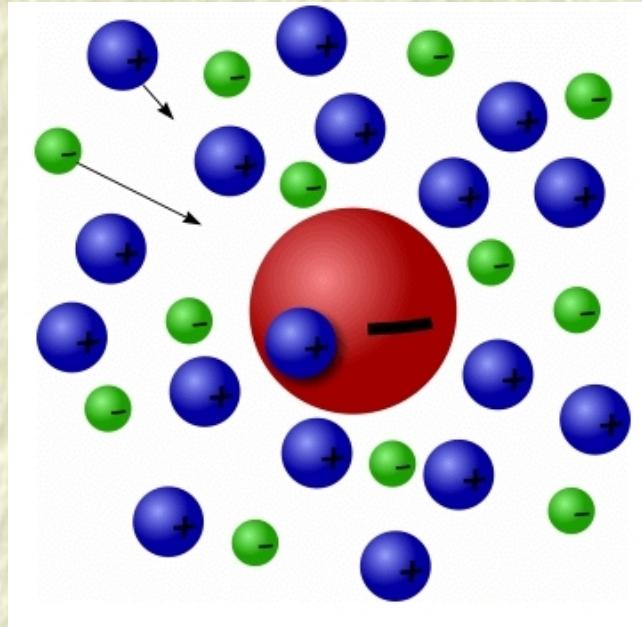
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- *Focus:* electrostatic waves; e.g. *ion acoustic (IA), electron acoustic (EA), dust acoustic (DA) waves, ...*

## Intermezzo: DP – Dusty Plasmas (or Complex Plasmas): definition and characteristics of a focus issue



□ Ingredients:

- electrons  $e^-$  (charge  $-e$ , mass  $m_e$ ),
- ions  $i^+$  (charge  $+Z_i e$ , mass  $m_i$ ), and
- charged micro-particles  $\equiv$  *dust grains*  $d$  (most often  $d^-$ ):  
 charge  $Q = \pm Z_d e \sim \pm(10^3 - 10^4) e$ ,  
 mass  $M \sim 10^9 m_p \sim 10^{13} m_e$ ,  
 radius  $r \sim 10^{-2} \mu\text{m}$  up to  $10^2 \mu\text{m}$ .

## Origin: Where does the dust come from?

- *Space*: cosmic debris (silicates, graphite, amorphous carbon), comet dust, man-made pollution (Shuttle exhaust, satellite remnants), ...
- *Atmosphere*: extraterrestrial dust (meteorites):  $\geq 2 \cdot 10^4$  tons a year (!)(\*), atmospheric pollution, chemical aerosols, ...
- *Fusion reactors*: plasma-surface interaction, carbonaceous particulates resulting from wall erosion-created debris (graphite, CFCs: Carbon Fiber Composites, ...)
- *Laboratory*: (man-injected) melamine-formaldehyde particulates (\*\*) injected in *rf* or *dc* discharges; 3d (= multiple 2d layers) or 1d (by appropriate experimental setting) crystals.

Sources: [P. K. Shukla & A. Mamun 2002], (\*) [DeAngelis 1992], (\*\*) [G. E. Morfill et al. 1998]

## 2. A generic (*single*-) fluid model for electrostatic waves.

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Typical paradigm (cf. textbooks) to *focus* upon:

- Ion acoustic waves (IAW): ions ( $\alpha = i$ ) in a background of thermalized electrons ( $\alpha' = e$ ):  $n_e = n_{e,0} e^{e\Phi/K_B T_e}$ .

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- Electron acoustic waves (EAW): electrons ( $\alpha = e$ ) in a background of *stationary* ions ( $\alpha' = i$ ):  $n_i = cst.$ ;
- DAW: dust grains ( $\alpha = d$ ) against *thermalized* electrons and ions ( $\alpha' = e, i$ ):  $n_e = n_{e,0} e^{e\Phi/K_B T_e}, \quad n_i = n_{i,0} e^{-Z_i e\Phi/K_B T_i}$ .

## Fluid moment equations:

Density  $n_\alpha$  (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

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[(\*) *Cold fluid model*]

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[(\*) *Cold* vs. *Warm* fluid model]

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Pressure  $p_\alpha$  equation: [*(\*) Cold vs. Warm* fluid model]

$$\frac{\partial p_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla p_\alpha = -\gamma p_\alpha \nabla \cdot \mathbf{u}_\alpha$$

[ $\gamma = (f+2)/f = c_P/c_V$ : ratio of specific heats e.g.  $\gamma = 3$  for 1d,  $\gamma = 2$  for 2d, etc.].

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The potential  $\Phi$  obeys *Poisson's eq.*:

$$\nabla^2 \Phi = -4\pi \sum_{\alpha''=\alpha,\{\alpha'\}} q_{\alpha''} n_{\alpha''} = 4\pi e (n_e - Z_i n_i + \dots)$$

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Hypothesis: Overall charge *neutrality* at equilibrium:  $q_\alpha n_{\alpha,0} = - \sum_{\{\alpha'\}} q_{\alpha'} n_{\alpha',0}$ .

## Reduced moment evolution equations:

Defining appropriate scales (see next slide) one obtains:

$$\begin{aligned} \frac{\partial n}{\partial t} + \nabla \cdot (n \mathbf{u}) &= 0, \\ \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} &= -s \nabla \phi - \frac{\sigma}{n} \nabla p, \\ \frac{\partial p}{\partial t} + \mathbf{u} \cdot \nabla p &= -\gamma p \nabla \cdot \mathbf{u}; \end{aligned}$$

also,

$$\nabla^2 \phi = \phi - \alpha \phi^2 + \alpha' \phi^3 - s \beta (n - 1); \quad (1)$$

i.e. *Poisson's Eq. close to equilibrium*:  $\phi \ll 1$ ;  $s = \text{sgn} q_\alpha = \pm 1$ .

- The dimensionless parameters  $\alpha$ ,  $\alpha'$  and  $\beta$  must be determined exactly for any specific problem. They incorporate all the essential dependence on the plasma parameters.

We have defined the reduced (dimensionless) quantities:

- **particle density**:  $n = n_\alpha/n_{\alpha,0}$ ;
- **mean (fluid) velocity**:  $\mathbf{u} = [m_\alpha/(k_B T_*)]^{1/2} \mathbf{u}_\alpha \equiv \mathbf{u}_\alpha/c_*$ ;
- **dust pressure**:  $p = p_\alpha/p_0 = p_\alpha/(n_{\alpha,0} k_B T_*)$ ;
- **electric potential**:  $\phi = Z_\alpha e \Phi / (k_B T_*) = |q_\alpha| \Phi / (k_B T_*)$ ;
- $\gamma = (f + 2)/f = C_P/C_V$  (for  $f$  degrees of freedom).

Also, **time** and **space** are scaled over:

- $t_0$ , e.g. the inverse *DP plasma frequency*

$$\omega_{p,\alpha}^{-1} = (4\pi n_{\alpha,0} q_\alpha^2 / m_\alpha)^{-1/2}$$

- $r_0 = c_* t_0$ , i.e. an **effective Debye length**

$$\lambda_{D,eff} = (k_B T_*/m_\alpha \omega_{p,\alpha}^2)^{1/2}.$$

Finally,  $\sigma = T_\alpha/T_*$  is the **temperature (ratio)**.

### 3. Reductive Perturbation Technique

– 1st step. Define *multiple scales* (*fast* and *slow*) i.e. (in 2d)

$$X_0 = x, \quad X_1 = \epsilon x, \quad X_2 = \epsilon^2 x, \quad \dots$$

$$Y_0 = y, \quad Y_1 = \epsilon y, \quad Y_2 = \epsilon^2 y, \quad \dots$$

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and modify operators appropriately:

$$\frac{\partial}{\partial x} \rightarrow \frac{\partial}{\partial X_0} + \epsilon \frac{\partial}{\partial X_1} + \epsilon^2 \frac{\partial}{\partial X_2} + \dots$$

$$\frac{\partial}{\partial y} \rightarrow \frac{\partial}{\partial Y_0} + \epsilon \frac{\partial}{\partial Y_1} + \epsilon^2 \frac{\partial}{\partial Y_2} + \dots$$

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– 2nd step. Expand near equilibrium:

$$n_\alpha \approx n_{\alpha,0} + \epsilon n_{\alpha,1} + \epsilon^2 n_{\alpha,2} + \dots$$

$$\mathbf{u}_\alpha \approx \mathbf{0} + \epsilon \mathbf{u}_{\alpha,1} + \epsilon^2 \mathbf{u}_{\alpha,2} + \dots$$

$$p_\alpha \approx p_{\alpha,0} + \epsilon p_{\alpha,1} + \epsilon^2 p_{\alpha,2} + \dots$$

$$\phi \approx 0 + \epsilon \phi_1 + \epsilon^2 \phi_2 + \dots$$

$(p_{\alpha,0} = n_{\alpha,0} k_B T_\alpha; \quad \epsilon \ll 1$  is a *smallness parameter*).

## Reductive perturbation technique (*continued*)

– 3rd step. Project on Fourier space, i.e. consider  $\forall m = 1, 2, \dots$

$$S_m = \sum_{l=-m}^m \hat{S}_l^{(m)} e^{il(\mathbf{k} \cdot \mathbf{r} - \omega t)} = \hat{S}_0^{(m)} + 2 \sum_{l=1}^m \hat{S}_l^{(m)} \cos l(\mathbf{k} \cdot \mathbf{r} - \omega t)$$

for  $S_m = (n_m, \{u_{x,m}, u_{y,m}\}, p_m, \phi_m)$ , i.e. essentially:

$$n_1 = n_0^{(1)} + \tilde{n}_1^{(1)} \cos \theta, \quad n_2 = n_0^{(2)} + \tilde{n}_1^{(2)} \cos \theta + \tilde{n}_2^{(2)} \cos 2\theta, \text{ etc.}$$

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– 4th step. *Oblique modulation* assumption:

the slow amplitudes  $\hat{\phi}_l^{(m)}$ , etc. vary only along the  $x$ -axis:

$$\hat{S}_l^{(m)} = \hat{S}_l^{(m)}(X_j, T_j), \quad j = 1, 2, \dots$$

while the fast carrier phase  $\theta = \mathbf{k} \cdot \mathbf{r} - \omega t$  is now:

$$k_x x + k_y y - \omega t = k r \cos \alpha - \omega t .$$

## First-order solution ( $\sim \epsilon^1$ )

Substituting and isolating terms in  $m = 1$ , we obtain:

- The *dispersion relation*  $\omega = \omega(k)$ :

$$\omega^2 = \omega_{p,\alpha}^2 \frac{k^2}{k^2 + k_D^2} + \gamma v_{th}^2 k^2 \quad (2)$$

with  $k_D = \lambda_D^{-1}$ , where

$$\omega_{p,\alpha} = \left( \frac{4\pi n_{\alpha,0} q_{\alpha}^2}{m_{\alpha}} \right)^{1/2}, \quad \lambda_{D,\alpha} = \left( \frac{k_B T_{\alpha}}{4\pi n_{\alpha,0} q_{\alpha}^2} \right)^{1/2}, \quad v_{th} = \left( \frac{T_{\alpha}}{m_{\alpha}} \right)^{1/2}$$

- The *solution(s)* for the **1st-harmonic amplitudes** (e.g.  $\propto \phi_1^{(1)}$ ):

$$n_1^{(1)} = s \frac{1+k^2}{\beta} \phi_1^{(1)} = \frac{1}{\gamma} p_1^{(1)} = \frac{k}{\omega \cos \theta} u_{1,x}^{(1)} = \frac{k}{\omega \sin \theta} u_{1,y}^{(1)} \quad (3)$$

## Second-order solution ( $\sim \epsilon^2$ )

□ From  $m = 2, l = 1$ , we obtain the relation:

$$\frac{\partial \psi}{\partial T_1} + v_g \frac{\partial \psi}{\partial X_1} = 0 \quad (4)$$

where

- $\psi = \phi_1^{(1)}$  is the potential correction ( $\sim \epsilon^1$ );
- $v_g = \frac{\partial \omega(k)}{\partial k_x}$  is the group velocity along  $\hat{x}$ ;
- the wave's envelope satisfies:  $\psi = \psi(\epsilon(x - v_g t)) \equiv \psi(\zeta)$ .

□ The solution, up to  $\sim \epsilon^2$ , is of the form:

$$\phi \approx \epsilon \psi \cos \theta + \epsilon^2 [\phi_0^{(2)} + \phi_1^{(2)} \cos \theta + \phi_2^{(2)} \cos 2\theta] + \mathcal{O}(\epsilon^3),$$

etc. (+ similar expressions for  $n_d, u_x, u_y, p_d$ ): → **Harmonics!**

## Third-order solution ( $\sim \epsilon^3$ )

- Compatibility equation (from  $m = 3, l = 1$ ), in the form of:

$$i \frac{\partial \psi}{\partial \tau} + P \frac{\partial^2 \psi}{\partial \zeta^2} + Q |\psi|^2 \psi = 0. \quad (5)$$

i.e. a *Nonlinear Schrödinger–type Equation (NLSE)* .

- Variables:  $\zeta = \epsilon(x - v_g t)$  and  $\tau = \epsilon^2 t$ ;

- *Dispersion coefficient P*:

$$P = \frac{1}{2} \frac{\partial^2 \omega}{\partial k_x^2} = \frac{1}{2} \left[ \omega''(k) \cos^2 \alpha + \omega'(k) \frac{\sin^2 \alpha}{k} \right]; \quad (6)$$

- *Nonlinearity coefficient Q*: ...

A (*lengthy!*) function of  $k$ , angle  $\alpha$  and  $T_e, T_i, \dots \rightarrow$  (omitted).

## 4. Modulational (in)stability analysis

- The NLSE admits the *harmonic wave solution*:

$$\psi = \hat{\psi} e^{iQ|\hat{\psi}|^2\tau} + \text{c.c.}$$

- Perturb the amplitude by setting:  $\hat{\psi} = \hat{\psi}_0 + \epsilon \hat{\psi}_{1,0} \cos(\tilde{k}\zeta - \tilde{\omega}\tau)$
- We obtain the (*perturbation*) dispersion relation:

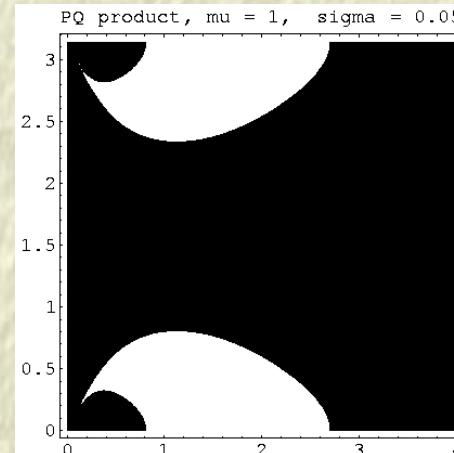
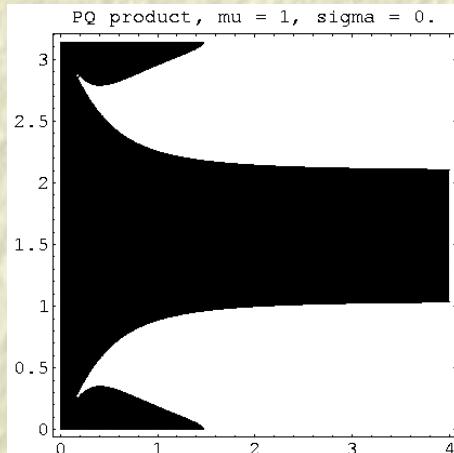
$$\tilde{\omega}^2 = P^2 \tilde{k}^2 \left( \tilde{k}^2 - 2 \frac{Q}{P} |\hat{\psi}_{1,0}|^2 \right). \quad (7)$$

- If  $PQ < 0$ : the amplitude  $\psi$  is *stable* to external perturbations;
- If  $PQ > 0$ : the amplitude  $\psi$  is *unstable* for  $\tilde{k} < \sqrt{2\frac{Q}{P}} |\psi_{1,0}|$ .

## Stability profile (IAW): Angle $\alpha$ versus wavenumber $k$

Typical values:  $Z_i = +1$  (hydrogen plasma),  $\gamma = 2$ .

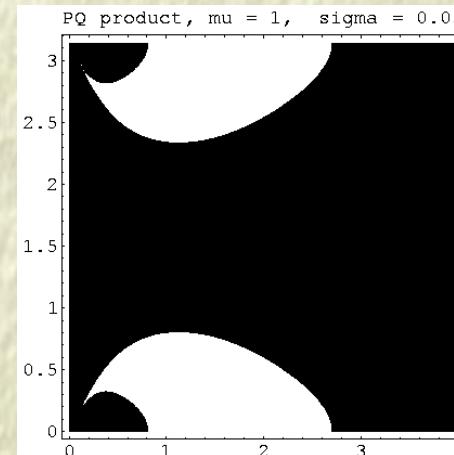
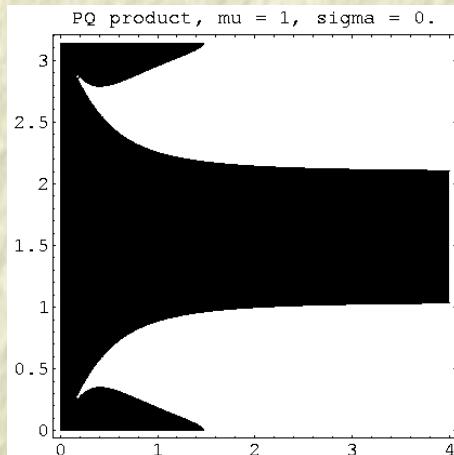
- Ion-acoustic waves; cold ( $\sigma = 0$ ) vs. warm ( $\sigma \neq 0$ ) fluid:



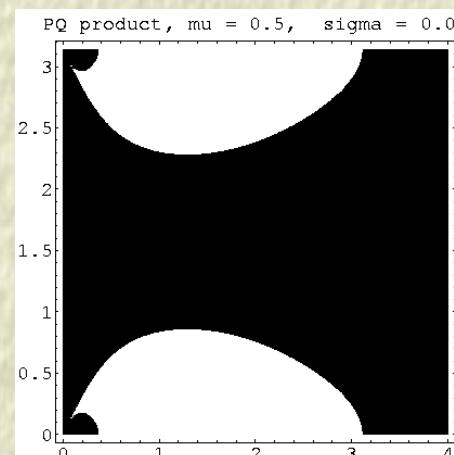
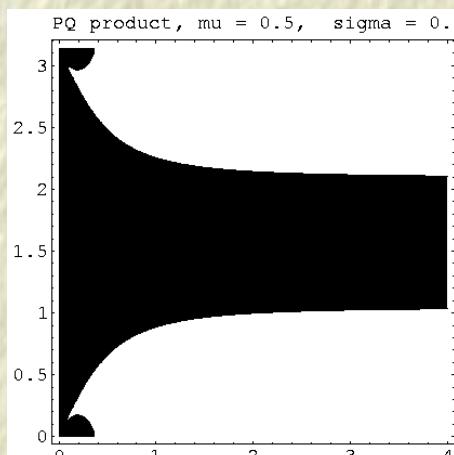
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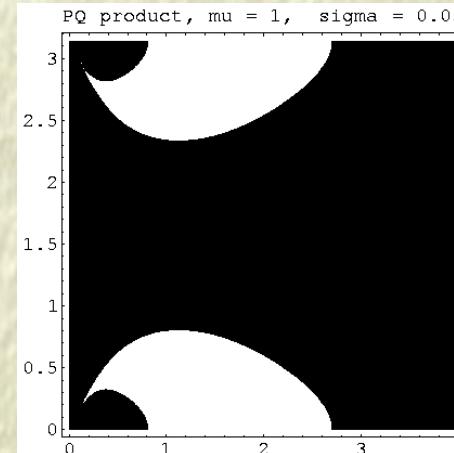
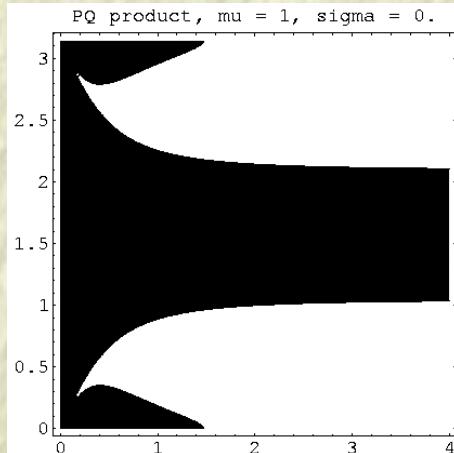
- *Dust-ion acoustic waves*, i.e. in the presence of *negative dust* ( $n_{d,0}/n_{i,0} = 0.5$ ):



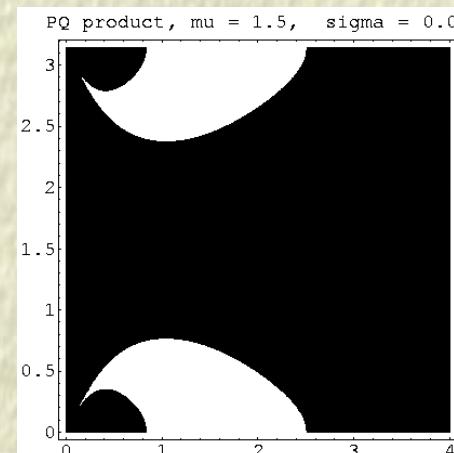
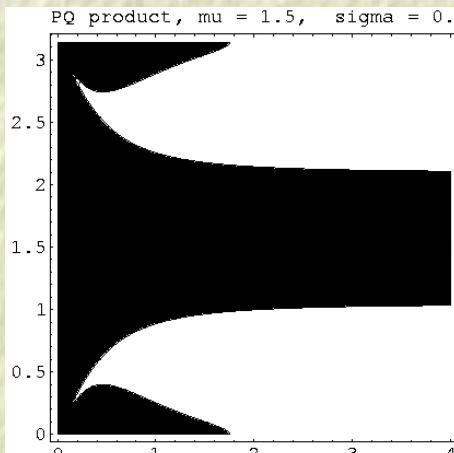
## Stability profile (IAW): Angle $\alpha$ versus wavenumber $k$

Typical values:  $Z_i = +1$  (hydrogen plasma),  $\gamma = 2$ .

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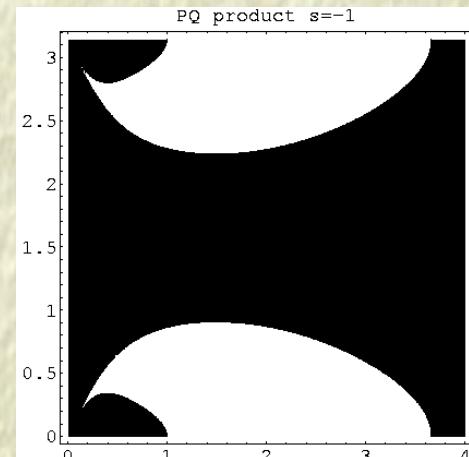
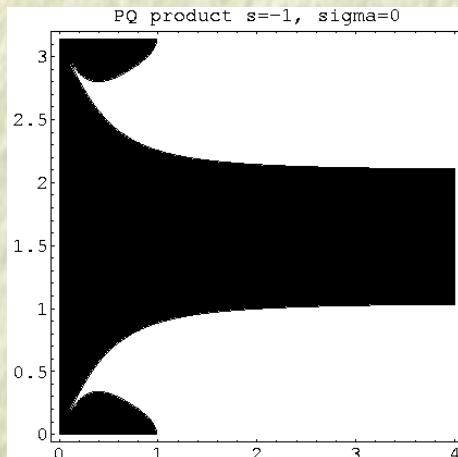
- *Dust-ion acoustic waves*, i.e. in the presence of *positive dust* ( $n_{d,0}/n_{i,0} = 0.5$ ):



## Stability profile (DAW): Angle $\alpha$ versus wavenumber $k$

Typical values:  $Z_d/Z_i \approx 10^3$ ,  $T_e/T_i \approx 10$ ,  $n_{d,0}/n_{i,0} \approx 10^{-3}$ ,  $\gamma = 2$ .

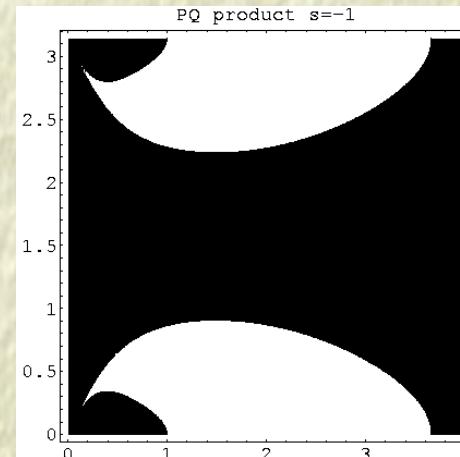
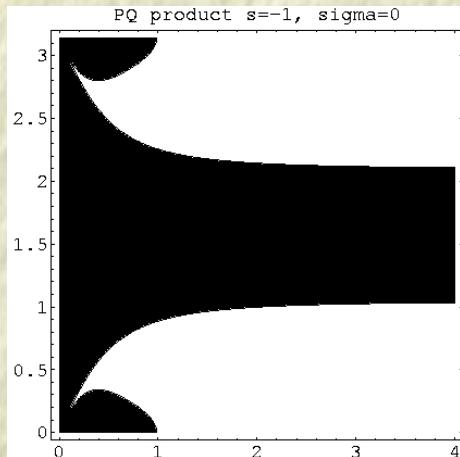
– Negative dust:  $s = -1$ ; cold ( $\sigma = 0$ ) vs. warm ( $\sigma \neq 0$ ) fluid:



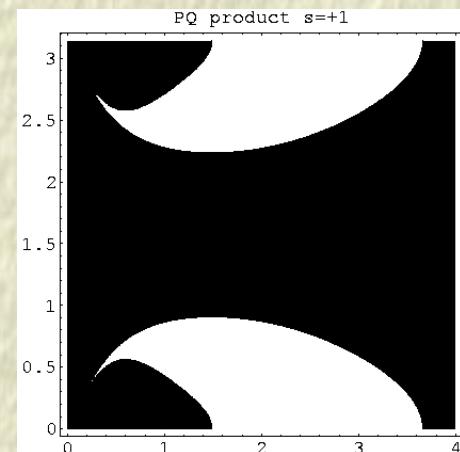
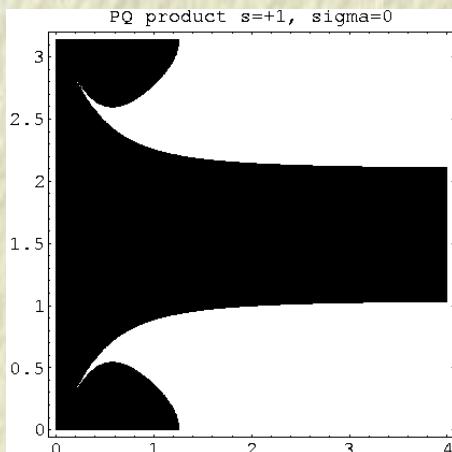
## Stability profile (DAW): Angle $\alpha$ versus wavenumber $k$

Typical values:  $Z_d/Z_i \approx 10^3$ ,  $T_e/T_i \approx 10$ ,  $n_{d,0}/n_{i,0} \approx 10^{-3}$ ,  $\gamma = 2$ .

- Negative dust:  $s = -1$ ; cold ( $\sigma = 0$ ) vs. warm ( $\sigma \neq 0$ ) fluid:



- The same plot for positive dust ( $s = +1$ ):



## 5. Localized envelope excitations (solitons)

□ The NLSE:

$$i \frac{\partial \psi}{\partial \tau} + P \frac{\partial^2 \psi}{\partial \zeta^2} + Q |\psi|^2 \psi = 0$$

accepts various soliton solutions:  $\psi = \rho e^{i\Theta}$ ;

the total electric potential is then:  $\phi \approx \epsilon \rho \cos(kr - \omega t + \Theta)$

where the amplitude  $\rho$  and phase correction  $\Theta$  depend on  $\zeta, \tau$ .

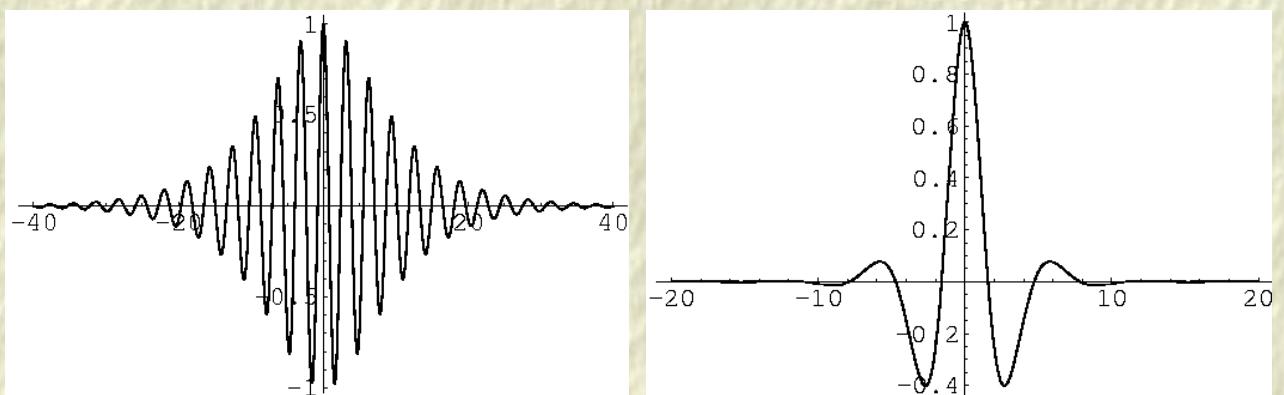
## 5. Localized envelope excitations (solitons)

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the *total* electric potential is then:  $\phi \approx \epsilon \rho \cos(\mathbf{kr} - \omega t + \Theta)$   
where the amplitude  $\rho$  and phase correction  $\Theta$  depend on  $\zeta, \tau$ .
- Bright-type envelope soliton (pulse):

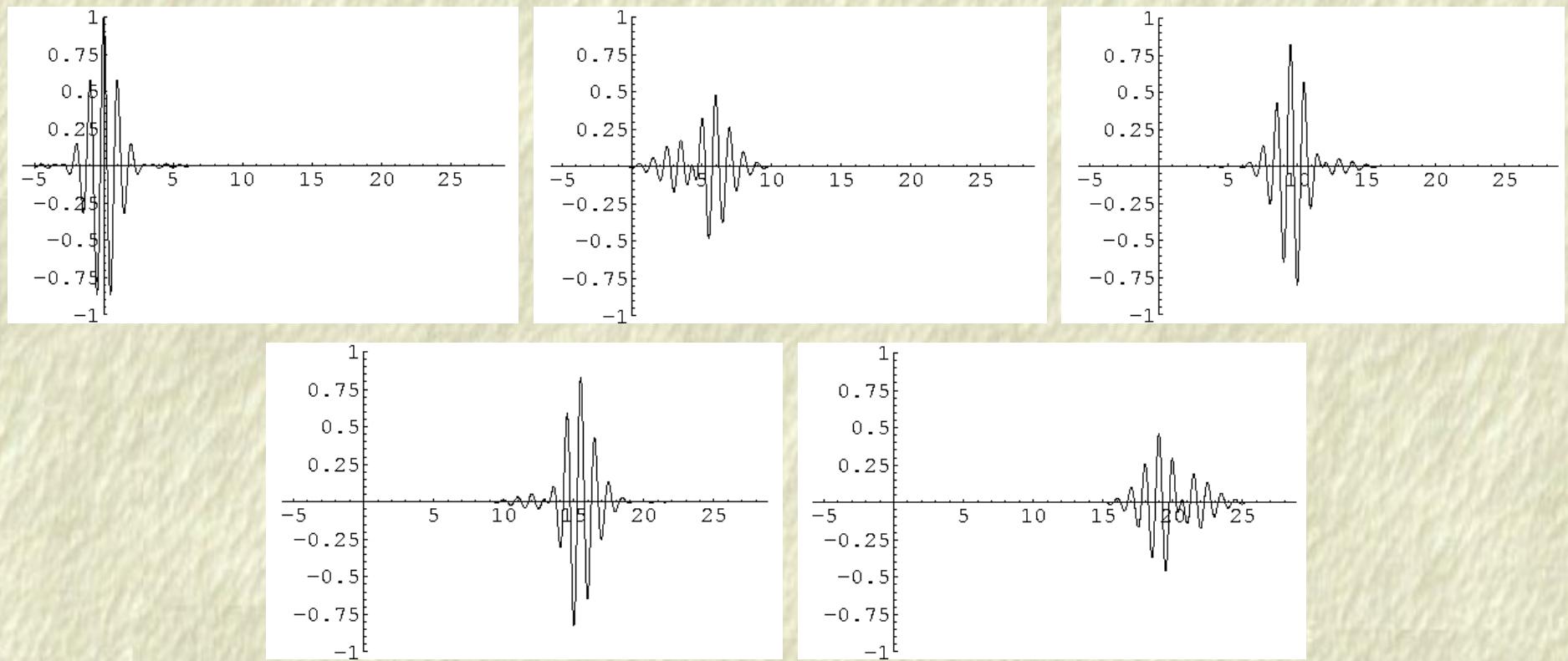
$$\rho = \rho_0 \operatorname{sech}\left(\frac{\zeta - v \tau}{L}\right), \quad \Theta = \frac{1}{2P} \left[ v \zeta - (\Omega + \frac{1}{2}v^2)\tau \right]. \quad (8)$$

$$L = \sqrt{\frac{2P}{Q}} \frac{1}{\rho_0}$$

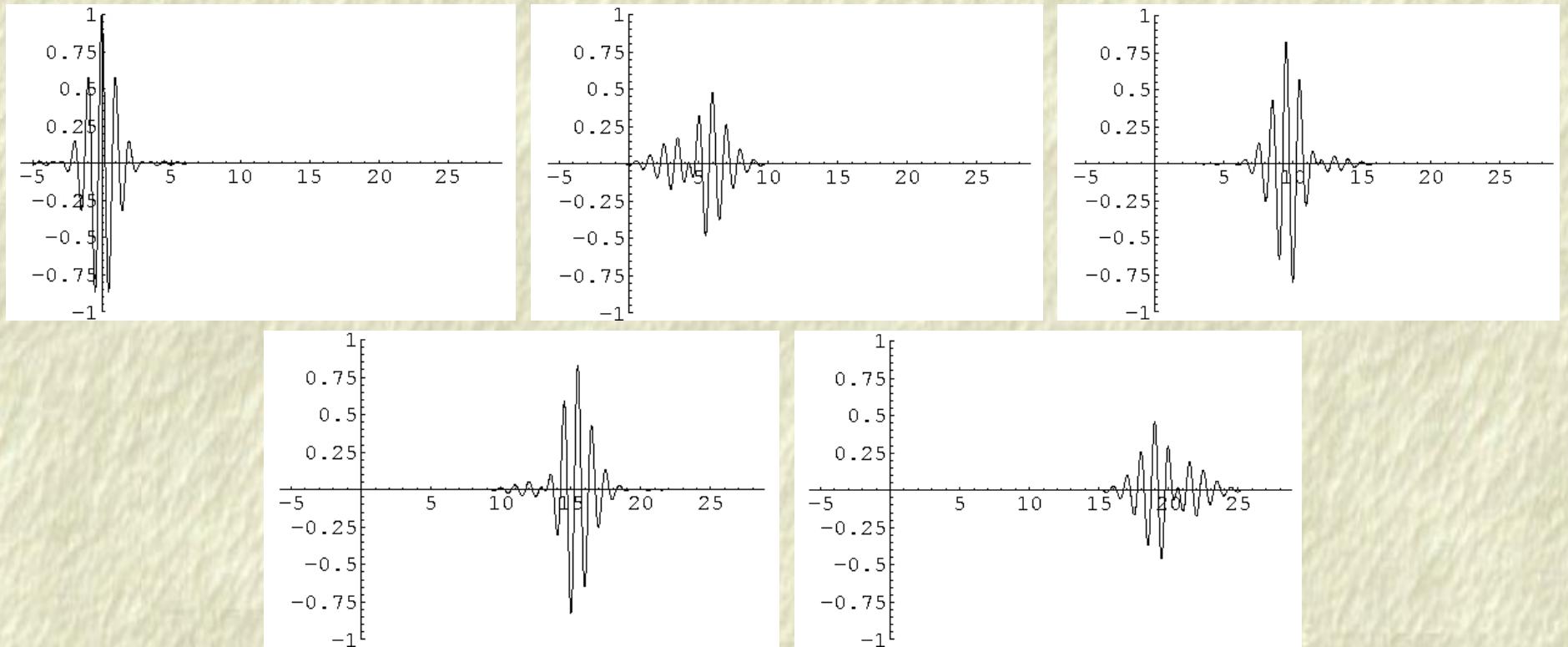
This is a  
propagating  
(and *oscillating*)  
localized **pulse**:



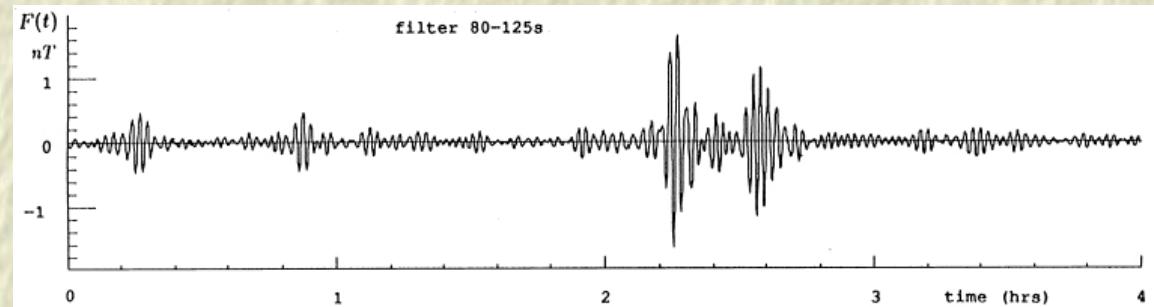
## Propagation of a bright envelope soliton (pulse)



## Propagation of a bright envelope soliton (pulse)



Cf. electrostatic plasma wave data from satellite observations:



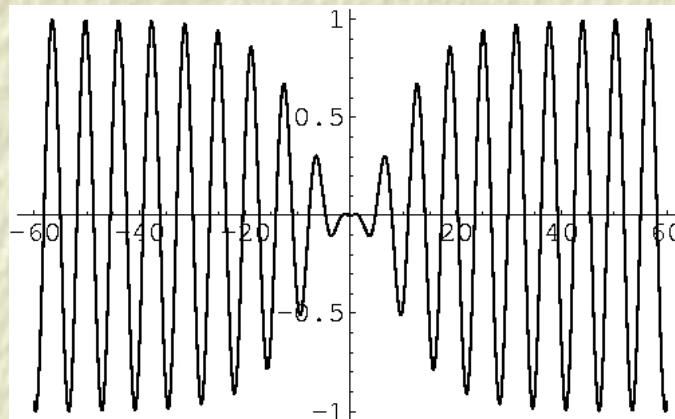
(from: [Ya. Al'pert, Phys. Reports **339**, 323 (2001)])

## Localized envelope excitations (part 2)

- ❑ Dark–type envelope solution (*hole soliton*):

$$\begin{aligned}
 \rho &= \pm \rho_1 \left[ 1 - \operatorname{sech}^2 \left( \frac{\zeta - v\tau}{L'} \right) \right]^{1/2} = \pm \rho_1 \tanh \left( \frac{\zeta - v\tau}{L'} \right), \\
 \Theta &= \frac{1}{2P} \left[ v\zeta - \left( \frac{1}{2}v^2 - 2PQ\rho_1 \right) \tau \right] \\
 L' &= \sqrt{2 \left| \frac{P}{Q} \right| \frac{1}{\rho_1}}
 \end{aligned} \tag{9}$$

This is a  
*propagating*  
*localized hole*  
(*zero density void*):

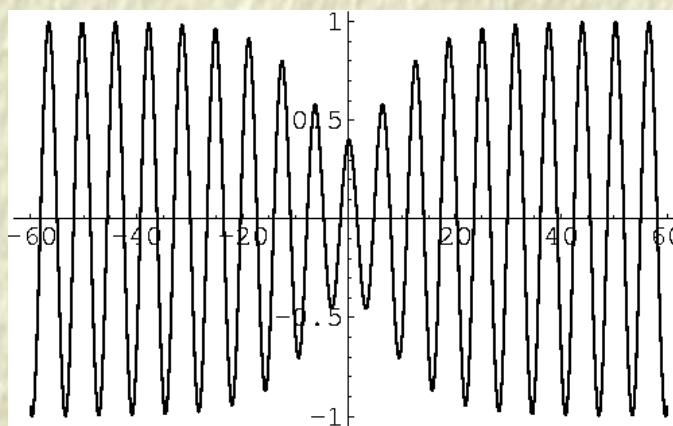


## Localized envelope excitations (part 3)

- ❑ Grey–type envelope solution (*void soliton*):

$$\begin{aligned}
 \rho &= \pm \rho_2 \left[ 1 - a^2 \operatorname{sech}^2 \left( \frac{\zeta - v \tau}{L''} \right) \right]^{1/2} \\
 \Theta &= \dots \\
 L'' &= \sqrt{2 \left| \frac{P}{Q} \right| \frac{1}{a \rho_2}}
 \end{aligned} \tag{10}$$

This is a  
propagating  
(non zero-density)  
**void**:



## 6. Conclusions

- ❑ *Amplitude Modulation* (due to carrier self-interaction) is an inherent feature of electrostatic (ES) plasma mode dynamics;
- ❑ ES waves may undergo spontaneous *modulational instability*; this is an intrinsic feature of nonlinear dynamics, which ...
- ❑ ... may lead to the formation of *envelope localized structures* (envelope solitons), in account for *energy localization* phenomena widely observed in space and laboratory.
- ❑ The RP analytical framework permits modeling of these mechanisms in terms of intrinsic physical (plasma) parameters.  
→ *a small step towards understanding the nonlinear behaviour of Plasmas.*

# Thank You !

Ioannis Kourakis  
Padma Kant Shukla

Acknowledgments:

SPIG organizers  
+ Prof. Dusan Jovanovic

Material from:

I. Kourakis & P. K. Shukla, *Phys. Plasmas*, **10** (9), 3459 (2003);  
*idem*, *PRE*, **69** (3), 036411 (2003).  
*idem*, *J. Phys. A*, **36** (47), 11901 (2003).  
*idem*, *European Phys. J. D*, **28**, 109 (2004).

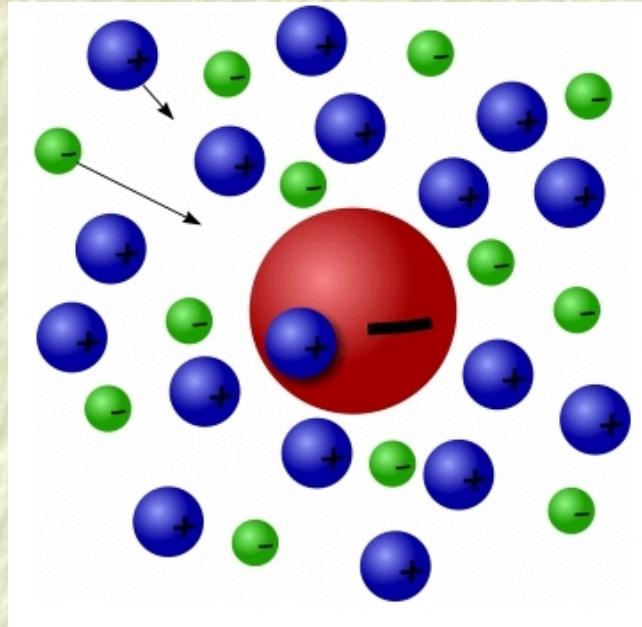
Available at: [www.tp4.rub.de/~ioannis](http://www.tp4.rub.de/~ioannis)

[ioannis@tp4.rub.de](mailto:ioannis@tp4.rub.de)

[www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf](http://www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf)

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## Appendix: DP – Dusty Plasmas (or Complex Plasmas): definition and characteristics



### ❑ Ingredients:

- **electrons**  $e^-$  (charge  $-e$ , mass  $m_e$ ),
- **ions**  $i^+$  (charge  $+Z_i e$ , mass  $m_i$ ), and
- charged micro-particles  $\equiv$  **dust grains**  $d$  (most often  $d^-$ ):  
charge  $Q = \pm Z_d e \sim \pm(10^3 - 10^4) e$ ,  
mass  $M \sim 10^9 m_p \sim 10^{13} m_e$ ,  
radius  $r \sim 10^{-2} \mu\text{m}$  up to  $10^2 \mu\text{m}$ .

## Origin: Where does the dust come from?

- *Space*: cosmic debris (silicates, graphite, amorphous carbon), comet dust, man-made pollution (Shuttle exhaust, satellite remnants), ...
- *Atmosphere*: extraterrestrial dust (meteorites):  $\geq 2 \cdot 10^4$  tons a year (!)(\*), atmospheric pollution, chemical aerosols, ...
- *Fusion reactors*: plasma-surface interaction, carbonaceous particulates resulting from wall erosion-created debris (graphite, CFCs: Carbon Fiber Composites, ...)
- *Laboratory*: (man-injected) melamine-formaldehyde particulates (\*\*) injected in *rf* or *dc* discharges; 3d (= multiple 2d layers) or 1d (by appropriate experimental setting) crystals.

Sources: [P. K. Shukla & A. Mamun 2002], (\*) [DeAngelis 1992], (\*\*) [G. E. Morfill et al. 1998]

## Some unique features of the Physics of Dusty Plasmas:

- Complex plasmas are *overall charge neutral*; most (sometimes *all!*) of the negative charge resides on the microparticles;
- The microparticles can be *dynamically dominant*: mass density  $\approx 10^2$  times higher than the neutral gas density and  $\approx 10^6$  times higher than the ion density !
- Studies in *slow motion* are possible due to high  $M$  i.e. *low  $Q/M$  ratio* (e.g. *dust plasma frequency*:  $\omega_{p,d} \approx 10 - 100$  Hz);
- The (large) microparticles can be *visualised* individually and studied at the kinetic level (with a digital camera!) → video;
- Dust charge ( $Q \neq \text{const.}$ ) is now a dynamical variable, associated to a *new collisionless damping mechanism*;

**(...continued) More “heretical” features are:**

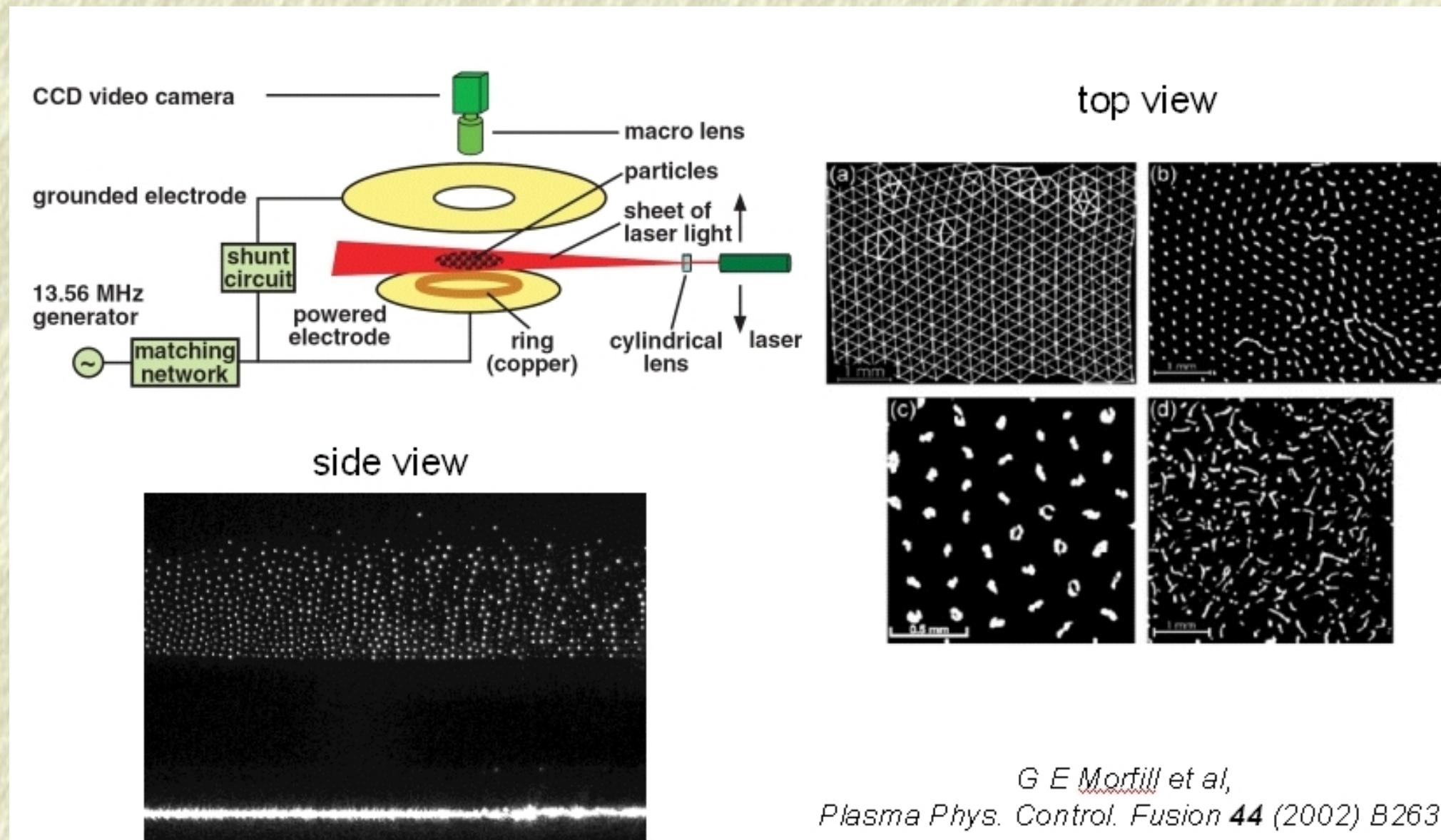
- ❑ Important *gravitational* (compared to the *electrostatic*) interaction **effects**; gravito-plasma physics; gravito-electrodynamics; Jeans-type (gravitational) plasma instabilities etc. [Verheest PPCF 41 A445, 1999]
- ❑ Complex plasmas can be *strongly coupled* and exist in “*liquid*” ( $1 < \Gamma < 170$ ) and “*crystalline*” ( $\Gamma > 170$  [IKEZI 1986]) **states**, depending on the value of the *effective coupling (plasma) parameter*  $\Gamma$ ;

$$\Gamma_{eff} = \frac{\langle E_{potential} \rangle}{\langle E_{kinetic} \rangle} \sim \frac{Q^2}{r T} e^{-r/\lambda_D}$$

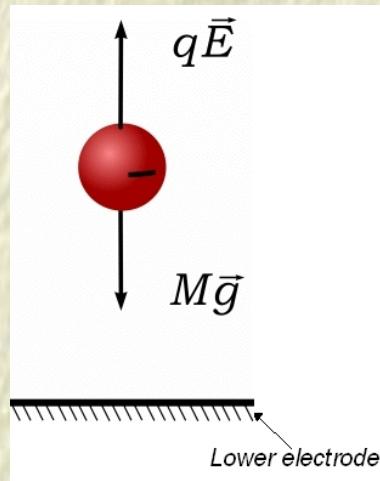
( $r$ : inter-particle distance,  $T$ : temperature,  $\lambda_D$ : Debye length).

Cf.: Lecture given by *Tito Mendonça* (Sat. July 17, 2004).

## Dust laboratory experiments on Earth:

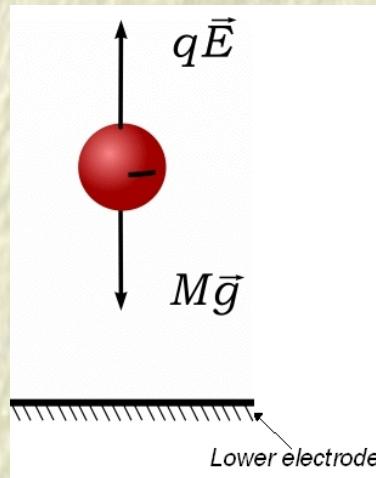


Earth experiments are subject to gravity:



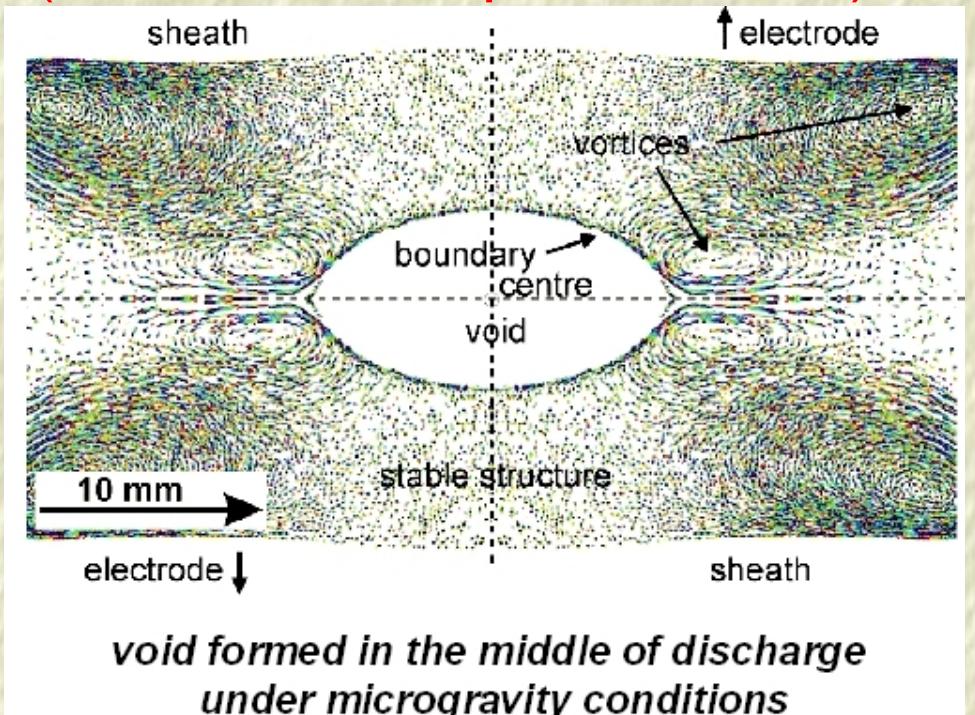
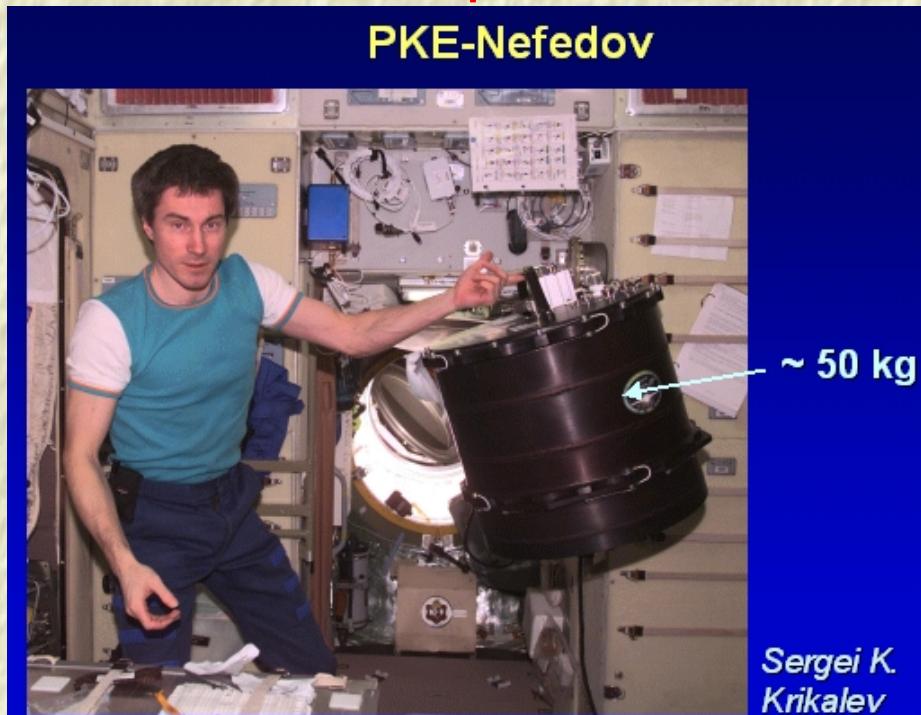
***levitation in strong sheath electric field***

Earth experiments are subject to gravity:



**levitation in strong sheath electric field**

thus ...: Dust experiments in ISS (International Space Station)



(Online data from: Max Planck Institut - CIPS).

[www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf](http://www.tp4.rub.de/~ioannis/conf/2004-SPIG-oral.pdf)

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