

*Dusty and Space Plasma Physics Workshop (FSAW 2004)
Het Pand, Gent (Belgium), 22 - 24 September 2004*

Localized modulated electrostatic wavepackets in space and dusty plasmas

Ioannis KOURAKIS & Padma Kant SHUKLA

*R.U.B. Ruhr-Universität Bochum
Institut für Theoretische Physik IV, Fakultät für Physik und Astronomie
D-44780 Bochum, Germany*

Outline

□ Introduction

- *Amplitude Modulation*: a rapid overview of notions and ideas;
- Relevance with space and laboratory plasmas;
- *Intermezzo: Dusty Plasmas (or Complex Plasmas)*.

□ The model: electrostatic wave description and formalism

- A pedagogical paradigm: Ion–acoustic waves (IAWs);
- Other examples: EAWs, DAWs, ...

□ The reductive perturbation (*multiple scales*) technique.

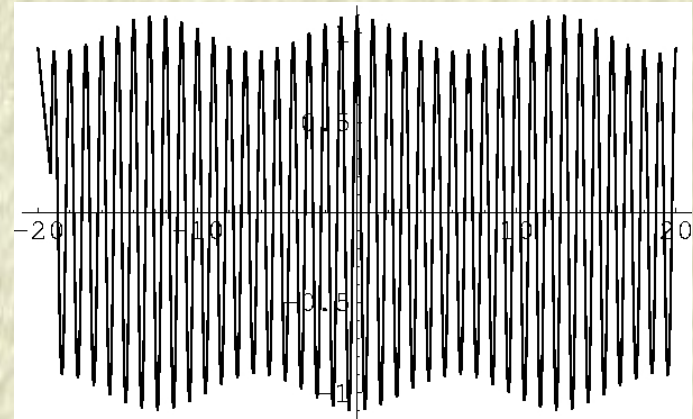
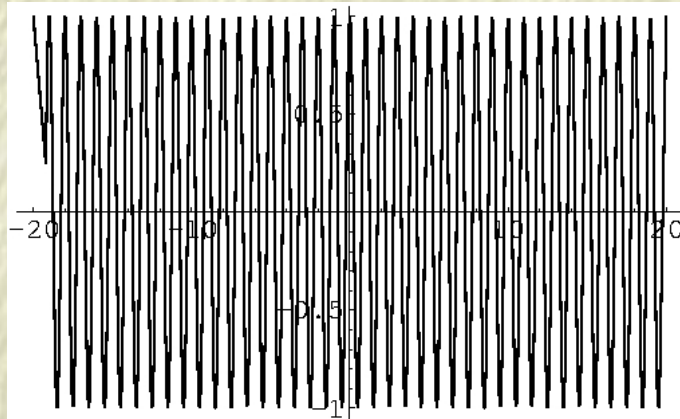
□ *Harmonic generation and Modulational Instability (MI)*.

□ *Envelope excitations*: theory and characteristics.

□ Conclusions.

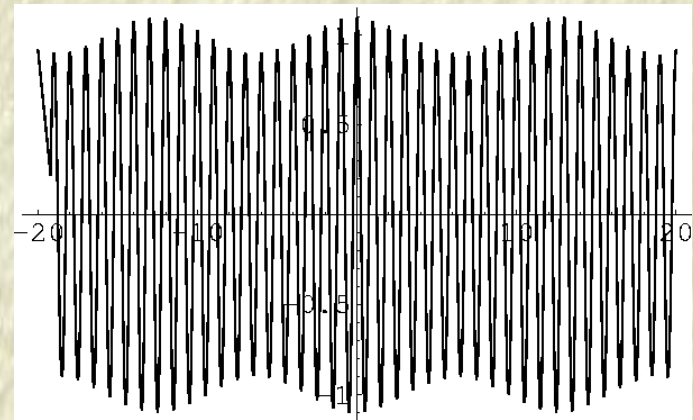
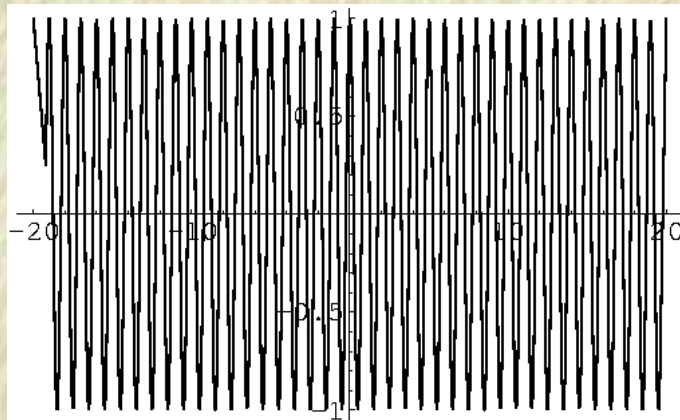
1. Intro. The mechanism of *wave amplitude modulation*

The *amplitude* of a harmonic wave may vary in space and time:

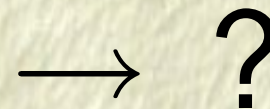
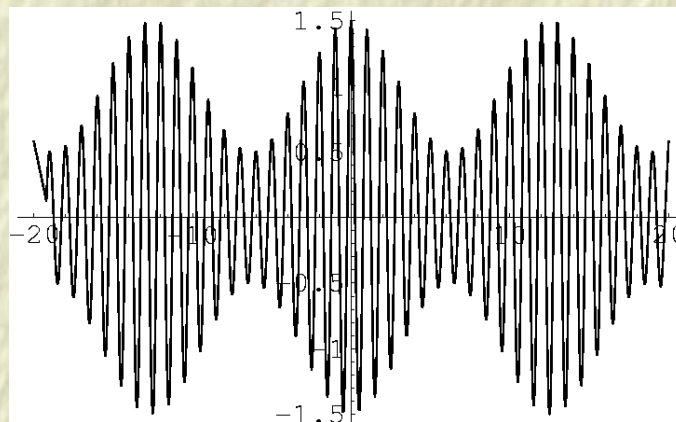


1. Intro. The mechanism of wave amplitude modulation

The *amplitude* of a harmonic wave may vary in space and time:

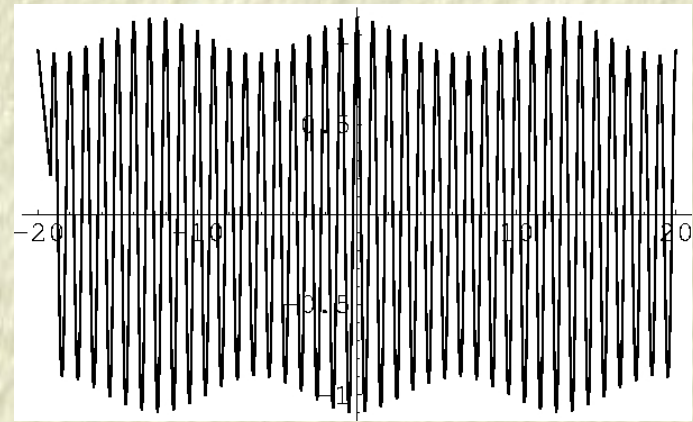
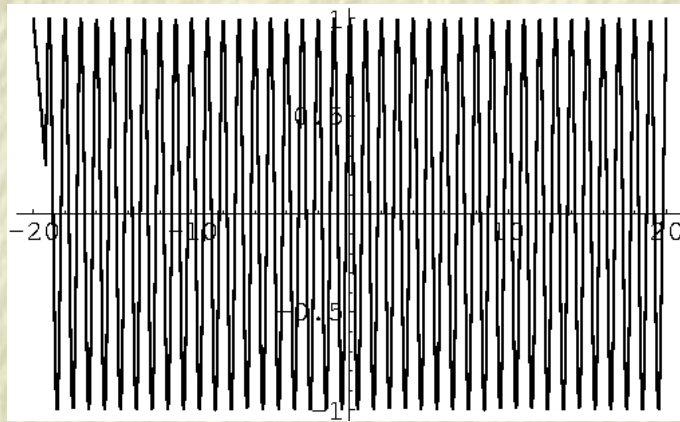


This *modulation* (due to nonlinearity) may be *strong* enough to lead to wave *collapse* or ...

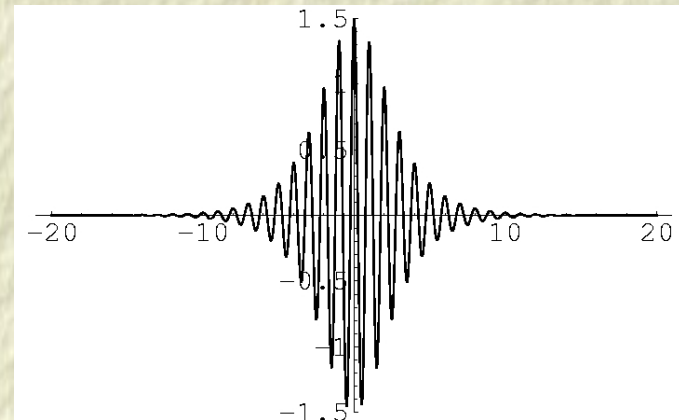
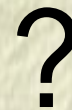
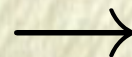
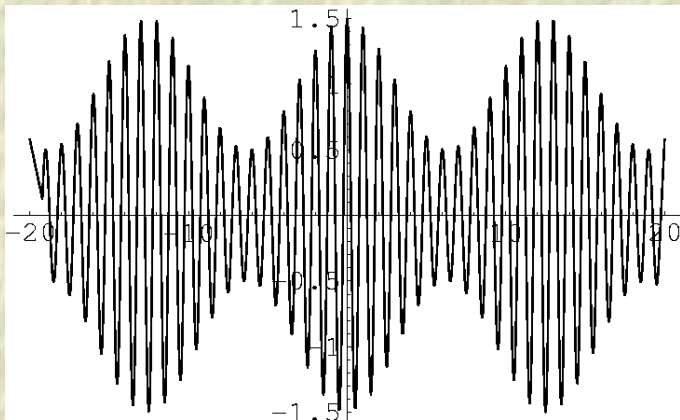


1. Intro. The mechanism of wave amplitude modulation

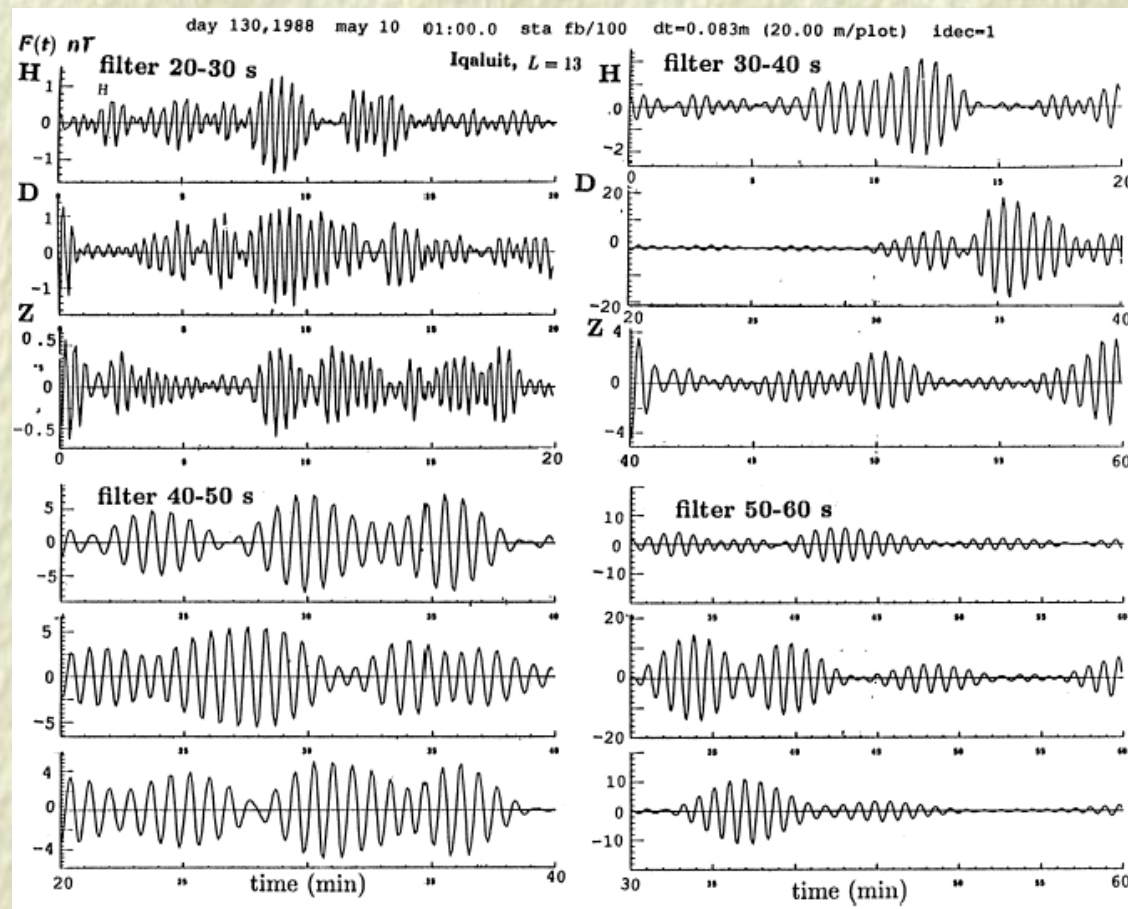
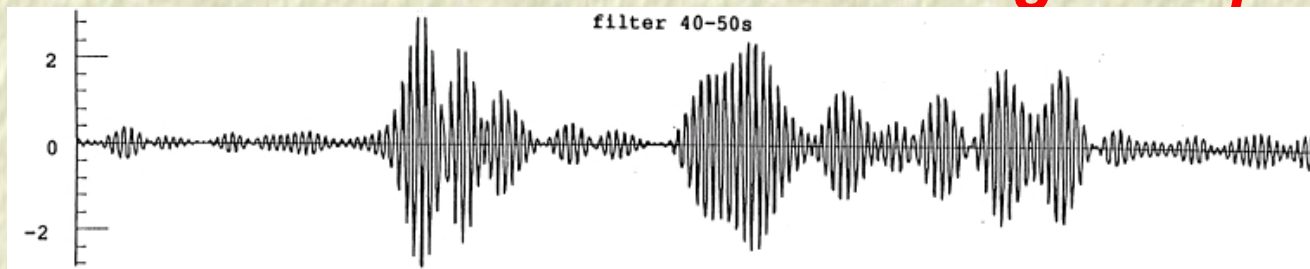
The *amplitude* of a harmonic wave may vary in space and time:



This *modulation* (due to nonlinearity) may be *strong* enough to lead to wave *collapse* or to the formation of *envelope solitons*:



Modulated structures occur in the magnetosphere, ...



(from: [Ya. Alpert, Phys. Reports **339**, 323 (2001)])

www.tp4.rub.de/~ioannis/conf/2004-FSAW-oral.pdf

Dusty and Space Plasma Physics Workshop (FSAW 2004)

..., in satellite (e.g. CLUSTER, FAST, ...) observations:

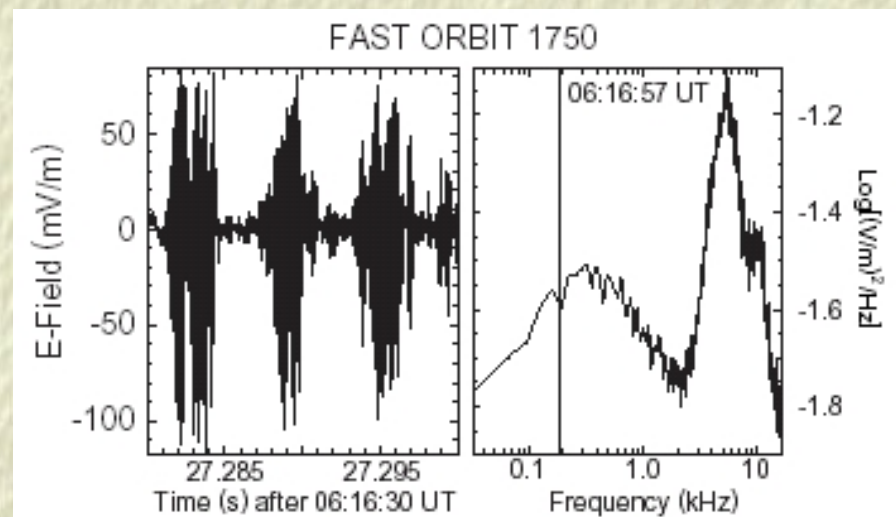
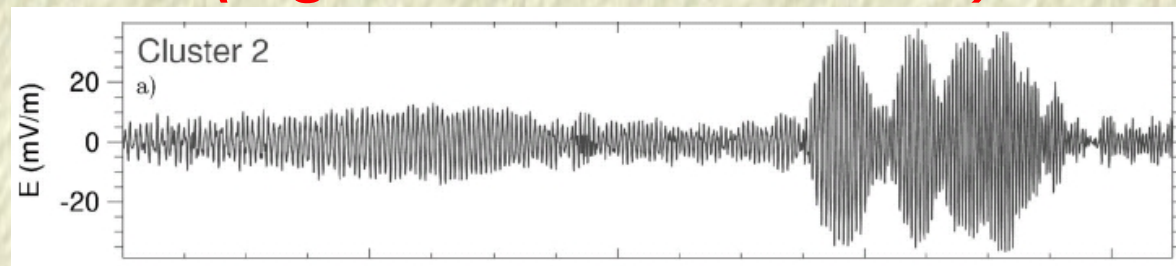
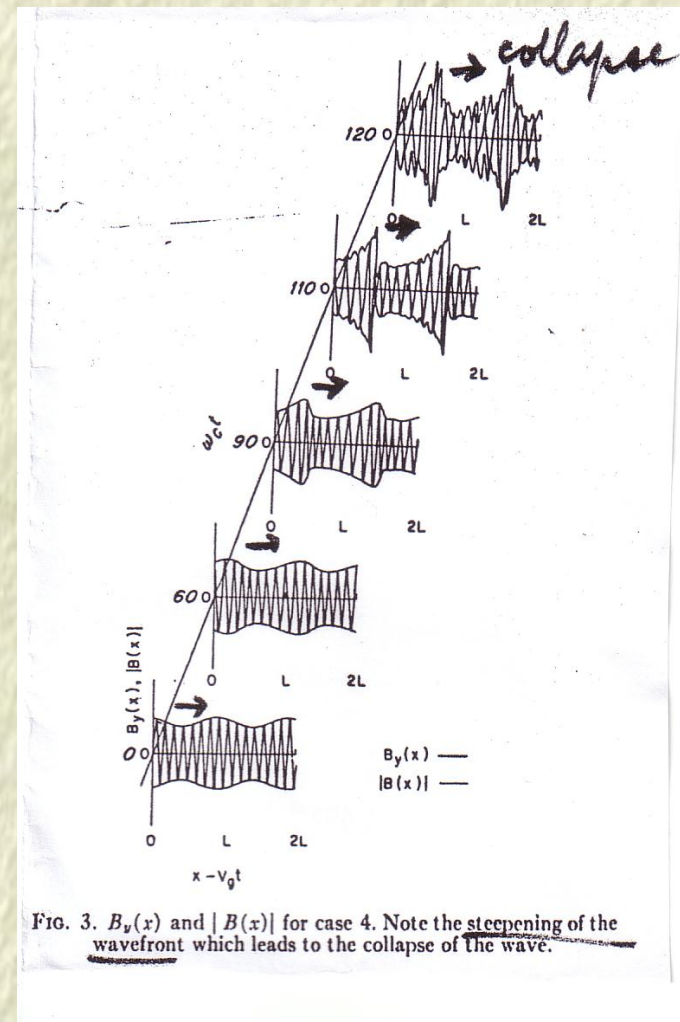
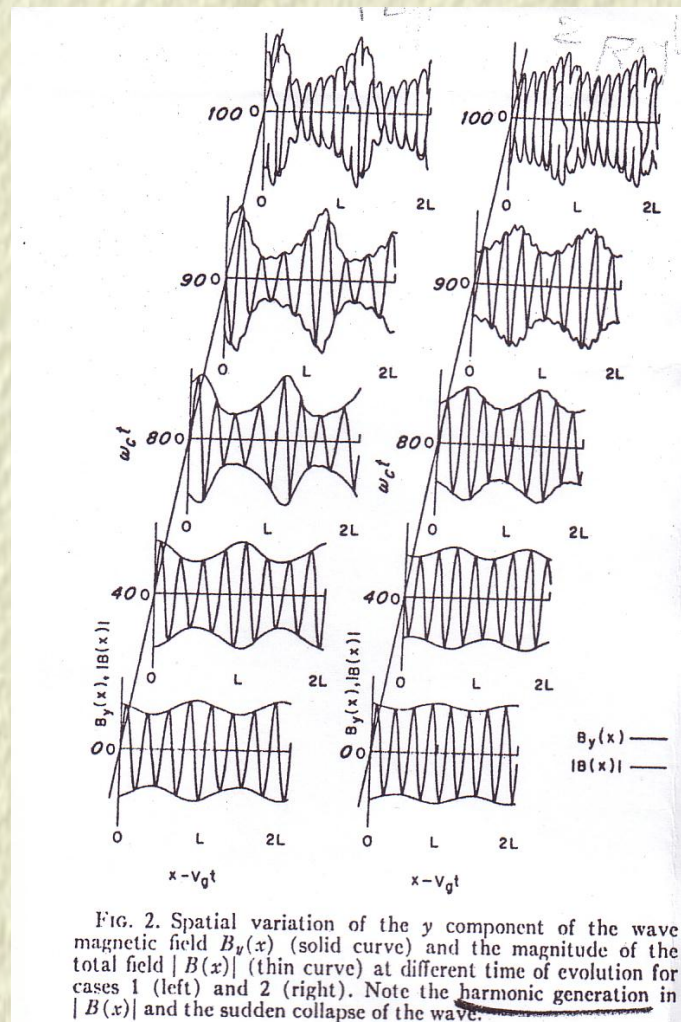


Figure 2. *Left:* Wave form of broadband noise at base of AKR source. The signal consists of highly coherent (nearly monochromatic frequency of trapped wave) wave packets. *Right:* Frequency spectrum of broadband noise showing the electron acoustic wave (at ~ 5 kHz) and total plasma frequency (at ~ 12 kHz) peaks. The broad LF maximum near 300 Hz belongs to the ion acoustic wave spectrum participating in the 3 ms modulation of the electron acoustic waves.

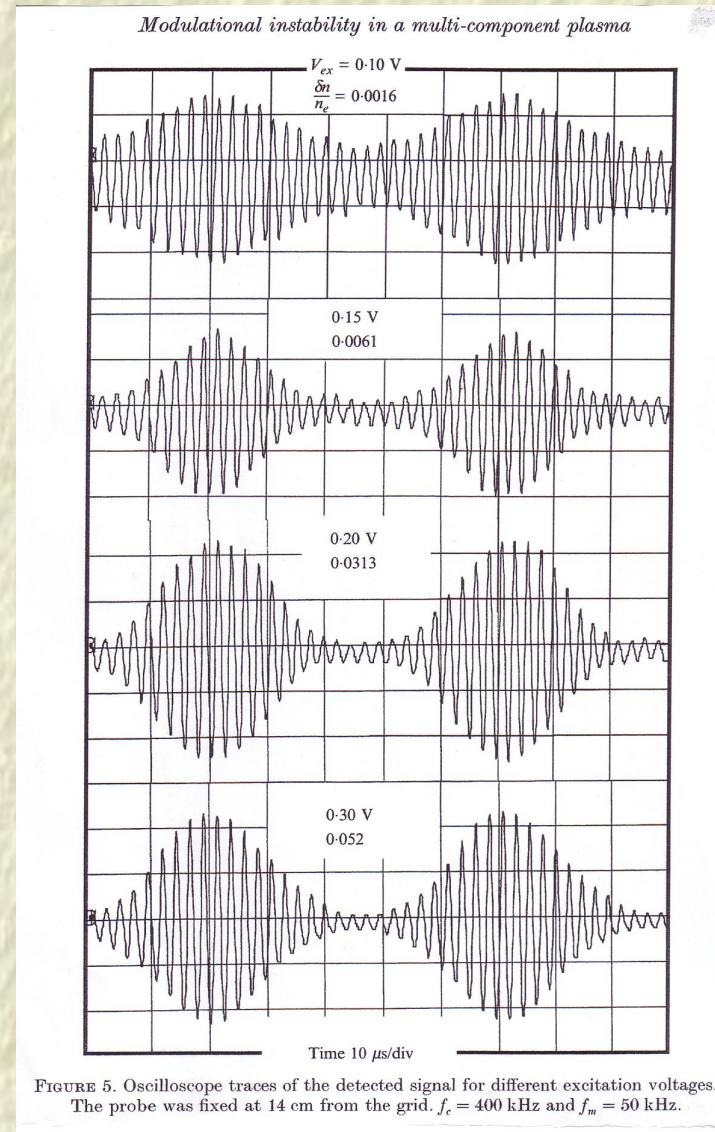
(*) From: O. Santolik *et al.*, *JGR* **108**, 1278 (2003); R. Pottellette *et al.*, *GRL* **26** 2629 (1999).

Modulational instability (MI) was observed in simulations,
 e.g. early (1972) numerical experiments of EM cyclotron waves:



[from: A. Hasegawa, *PRA* 1, 1746 (1970); *Phys. Fluids* 15, 870 (1972)].

Spontaneous MI has been observed in experiments,:



e.g. on *ion acoustic waves*

[from: Bailung and Nakamura, *J. Plasma Phys.* **50** (2), 231 (1993)].

Questions to be addressed in this brief presentation:

- How can one describe the (slow) evolution (*modulation*) of plasma waves' *amplitudes* in space and time?

Questions to be addressed in this brief presentation:

- ❑ How can one describe the (slow) evolution (*modulation*) of plasma waves' *amplitudes* in space and time?
- ❑ *Can Modulational Instability (MI) of plasma modes* be predicted by a simple, tractable analytical model?

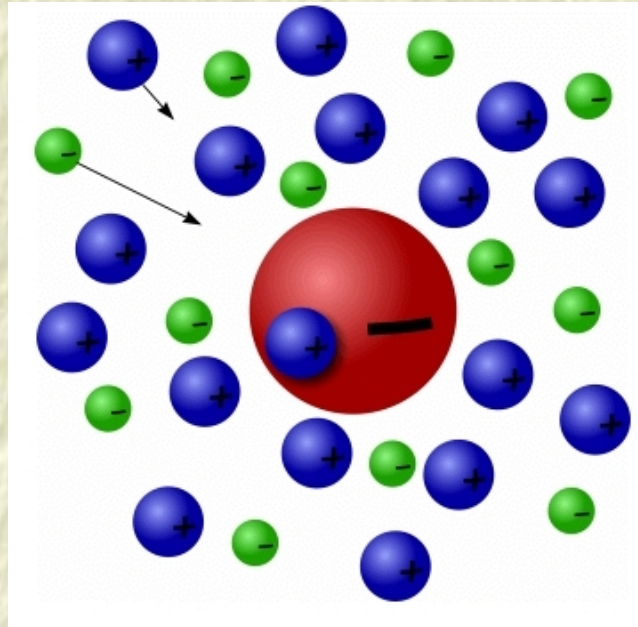
Questions to be addressed in this brief presentation:

- ❑ How can one describe the (slow) evolution (*modulation*) of plasma waves' *amplitudes* in space and time?
- ❑ Can *Modulational Instability (MI)* of plasma modes be predicted by a simple, tractable analytical model?
- ❑ Can *envelope modulated localized structures* (such as those observed in space and laboratory plasmas) be modeled by an exact theory?

Questions to be addressed in this brief presentation:

- ❑ How can one describe the (slow) evolution (*modulation*) of plasma waves' *amplitudes* in space and time?
- ❑ Can *Modulational Instability (MI)* of plasma modes be predicted by a simple, tractable analytical model?
- ❑ Can *envelope modulated localized structures* (such as those observed in space and laboratory plasmas) be modeled by an exact theory?
- ❑ *Focus:* electrostatic waves; e.g. *ion acoustic (IA)*, *electron acoustic (EA)*, *dust acoustic (DA)* waves, ...

Intermezzo: DP – Dusty Plasmas (or Complex Plasmas): definition and characteristics of a focus issue



□ Ingredients:

- **electrons** e^- (charge $-e$, mass m_e),
- **ions** i^+ (charge $+Z_i e$, mass m_i), and
- charged micro-particles \equiv **dust grains** d (most often d^-):
 charge $Q = \pm Z_d e \sim \pm(10^3 - 10^4) e$,
 mass $M \sim 10^9 m_p \sim 10^{13} m_e$,
 radius $r \sim 10^{-2} \mu\text{m}$ up to $10^2 \mu\text{m}$.

2. A generic (*single-*) fluid model for electrostatic waves.

The standard recipe involves the following ingredients:

- A *dynamical constituent* (particle species) α ;

2. A generic (*single-*) fluid model for electrostatic waves.

The standard recipe involves the following ingredients:

- A *dynamical constituent* (particle species) α ;
- a *neutralizing background* of (*one or several*) species α' (in a – presumably – *known* state).

2. A generic (*single-*) fluid model for electrostatic waves.

The standard recipe involves the following ingredients:

- A *dynamical constituent* (particle species) α ;
- a *neutralizing background* of (*one or several*) species α' (in a – presumably – known state).

Typical paradigm (cf. textbooks) to *focus* upon:

- **Ion acoustic waves (IAW)**: **ions** ($\alpha = i$) in a background of **thermalized electrons** ($\alpha' = e$): $n_e = n_{e,0} e^{e\Phi/K_B T_e}$.

2. A generic (*single-*) fluid model for electrostatic waves.

The standard recipe involves the following ingredients:

- A *dynamical constituent* (particle species) α ;
- a *neutralizing background* of (*one or several*) species α' (in a – presumably – known state).

Typical paradigm (cf. textbooks) to *focus* upon:

- **Ion acoustic waves (IAW)**: **ions** ($\alpha = i$) in a background of **thermalized electrons** ($\alpha' = e$): $n_e = n_{e,0} e^{e\Phi/K_B T_e}$.

The theory applies to a variety of other modes, including e.g.

- **Electron acoustic waves (EAW)**: **electrons** ($\alpha = e$) in a background of **stationary ions** ($\alpha' = i$): $n_i = cst.$;

2. A generic (*single-*) fluid model for electrostatic waves.

The standard recipe involves the following ingredients:

- A *dynamical constituent* (particle species) α ;
- a *neutralizing background* of (*one or several*) species α' (in a – presumably – known state).

Typical paradigm (cf. textbooks) to *focus* upon:

- **Ion acoustic waves (IAW)**: **ions** ($\alpha = i$) in a background of **thermalized electrons** ($\alpha' = e$): $n_e = n_{e,0} e^{e\Phi/K_B T_e}$.

The theory applies to a variety of other modes, including e.g.

- **Electron acoustic waves (EAW)**: **electrons** ($\alpha = e$) in a background of **stationary ions** ($\alpha' = i$): $n_i = cst.$;
- **DAW**: **dust grains** ($\alpha = d$) against **thermalized electrons and ions** ($\alpha' = e, i$): $n_e = n_{e,0} e^{e\Phi/K_B T_e}$, $n_i = n_{i,0} e^{-Z_i e\Phi/K_B T_i}$.

Fluid moment equations:

Density n_α (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

Fluid moment equations:

Density n_α (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

Mean velocity \mathbf{u}_α equation:

$$\frac{\partial \mathbf{u}_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla \mathbf{u}_\alpha = -\frac{q_\alpha}{m_\alpha} \nabla \Phi$$

[(*) *Cold* fluid model]

Fluid moment equations:

Density n_α (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

Mean velocity \mathbf{u}_α equation:

$$\frac{\partial \mathbf{u}_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla \mathbf{u}_\alpha = -\frac{q_\alpha}{m_\alpha} \nabla \Phi - \frac{1}{m_\alpha n_\alpha} \nabla p_\alpha$$

[(*) *Cold* vs. *Warm* fluid model]

Fluid moment equations:

Density n_α (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

Mean velocity \mathbf{u}_α equation:

$$\frac{\partial \mathbf{u}_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla \mathbf{u}_\alpha = -\frac{q_\alpha}{m_\alpha} \nabla \Phi - \frac{1}{m_\alpha n_\alpha} \nabla p_\alpha$$

Pressure p_α equation: [(*) *Cold* vs. *Warm* fluid model]

$$\frac{\partial p_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla p_\alpha = -\gamma p_\alpha \nabla \cdot \mathbf{u}_\alpha$$

[$\gamma = (f + 2)/f = c_P/c_V$: ratio of specific heats e.g. $\gamma = 3$ for 1d, $\gamma = 2$ for 2d, etc.].

Fluid moment equations:

Density n_α (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

Mean velocity \mathbf{u}_α equation:

$$\frac{\partial \mathbf{u}_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla \mathbf{u}_\alpha = -\frac{q_\alpha}{m_\alpha} \nabla \Phi - \frac{1}{m_\alpha n_\alpha} \nabla p_\alpha$$

Pressure p_α equation: [(*) *Cold* vs. *Warm* fluid model]

$$\frac{\partial p_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla p_\alpha = -\gamma p_\alpha \nabla \cdot \mathbf{u}_\alpha$$

The potential Φ obeys *Poisson's* eq.:

$$\nabla^2 \Phi = -4\pi \sum_{\alpha''=\alpha, \{\alpha'\}} q_{\alpha''} n_{\alpha''} = 4\pi e (n_e - Z_i n_i + \dots)$$

Fluid moment equations:

Density n_α (*continuity*) equation:

$$\frac{\partial n_\alpha}{\partial t} + \nabla \cdot (n_\alpha \mathbf{u}_\alpha) = 0$$

Mean velocity \mathbf{u}_α equation:

$$\frac{\partial \mathbf{u}_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla \mathbf{u}_\alpha = -\frac{q_\alpha}{m_\alpha} \nabla \Phi - \frac{1}{m_\alpha n_\alpha} \nabla p_\alpha$$

Pressure p_α equation: [(*) *Cold* vs. *Warm* fluid model]

$$\frac{\partial p_\alpha}{\partial t} + \mathbf{u}_\alpha \cdot \nabla p_\alpha = -\gamma p_\alpha \nabla \cdot \mathbf{u}_\alpha$$

The potential Φ obeys *Poisson's eq.:*

$$\nabla^2 \Phi = -4\pi \sum_{\alpha''=\alpha, \{\alpha'\}} q_{\alpha''} n_{\alpha''} = 4\pi e (n_e - Z_i n_i + \dots)$$

Hypothesis: Overall charge *neutrality* at equilibrium: $q_\alpha n_{\alpha,0} = -\sum_{\{\alpha'\}} q_{\alpha'} n_{\alpha',0}$.

Reduced moment evolution equations:

Defining appropriate scales (*see next slide*) one obtains:

$$\begin{aligned}\frac{\partial n}{\partial t} + \nabla \cdot (n \mathbf{u}) &= 0, \\ \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} &= -s \nabla \phi - \frac{\sigma}{n} \nabla p, \\ \frac{\partial p}{\partial t} + \mathbf{u} \cdot \nabla p &= -\gamma p \nabla \cdot \mathbf{u};\end{aligned}$$

also,

$$\nabla^2 \phi = \phi - \alpha \phi^2 + \alpha' \phi^3 - s \beta (n - 1); \quad (1)$$

i.e. *Poisson's Eq. close to equilibrium*: $\phi \ll 1$; $s = \text{sgn}q_\alpha = \pm 1$.

- The dimensionless parameters α , α' and β must be determined exactly for any specific problem. They incorporate all the essential dependence on the plasma parameters.

We have defined the reduced (dimensionless) quantities:

- *particle density*: $n = n_\alpha / n_{\alpha,0}$;
- *mean (fluid) velocity*: $\mathbf{u} = [m_\alpha / (k_B T_*)]^{1/2} \mathbf{u}_\alpha \equiv \mathbf{u}_\alpha / c_*$;
- *dust pressure*: $p = p_\alpha / p_0 = p_\alpha / (n_{\alpha,0} k_B T_*)$;
- *electric potential*: $\phi = Z_\alpha e \Phi / (k_B T_*) = |q_\alpha| \Phi / (k_B T_*)$;
- $\gamma = (f + 2) / f = C_P / C_V$ (for f degrees of freedom).

Also, *time* and *space* are scaled over:

- t_0 , e.g. the inverse *DP plasma frequency*

$$\omega_{p,\alpha}^{-1} = (4\pi n_{\alpha,0} q_\alpha^2 / m_\alpha)^{-1/2}$$

- $r_0 = c_* t_0$, i.e. an *effective Debye length*

$$\lambda_{D,eff} = (k_B T_* / m_\alpha \omega_{p,\alpha}^2)^{1/2}.$$

Finally, $\sigma = T_\alpha / T_*$ is the **temperature (ratio)**.

3. Reductive Perturbation Technique

– 1st step. Define *multiple scales* (*fast* and *slow*) i.e. (in 2d)

$$X_0 = x, \quad X_1 = \epsilon x, \quad X_2 = \epsilon^2 x, \quad \dots$$

$$Y_0 = y, \quad Y_1 = \epsilon y, \quad Y_2 = \epsilon^2 y, \quad \dots$$

$$T_0 = t, \quad T_1 = \epsilon t, \quad T_2 = \epsilon^2 t, \quad \dots$$

3. Reductive Perturbation Technique

– 1st step. Define *multiple scales* (*fast* and *slow*) i.e. (in 2d)

$$X_0 = x, \quad X_1 = \epsilon x, \quad X_2 = \epsilon^2 x, \quad \dots$$

$$Y_0 = y, \quad Y_1 = \epsilon y, \quad Y_2 = \epsilon^2 y, \quad \dots$$

$$T_0 = t, \quad T_1 = \epsilon t, \quad T_2 = \epsilon^2 t, \quad \dots$$

and modify operators appropriately:

$$\frac{\partial}{\partial x} \rightarrow \frac{\partial}{\partial X_0} + \epsilon \frac{\partial}{\partial X_1} + \epsilon^2 \frac{\partial}{\partial X_2} + \dots$$

$$\frac{\partial}{\partial y} \rightarrow \frac{\partial}{\partial Y_0} + \epsilon \frac{\partial}{\partial Y_1} + \epsilon^2 \frac{\partial}{\partial Y_2} + \dots$$

$$\frac{\partial}{\partial t} \rightarrow \frac{\partial}{\partial T_0} + \epsilon \frac{\partial}{\partial T_1} + \epsilon^2 \frac{\partial}{\partial T_2} + \dots$$

3. Reductive Perturbation Technique

– 1st step. Define *multiple scales* (*fast* and *slow*) i.e. (in 2d)

$$X_0 = x, \quad X_1 = \epsilon x, \quad X_2 = \epsilon^2 x, \quad \dots$$

$$Y_0 = y, \quad Y_1 = \epsilon y, \quad Y_2 = \epsilon^2 y, \quad \dots$$

$$T_0 = t, \quad T_1 = \epsilon t, \quad T_2 = \epsilon^2 t, \quad \dots$$

– 2nd step. Expand near equilibrium:

$$n_\alpha \approx n_{\alpha,0} + \epsilon n_{\alpha,1} + \epsilon^2 n_{\alpha,2} + \dots$$

$$\mathbf{u}_\alpha \approx \mathbf{0} + \epsilon \mathbf{u}_{\alpha,1} + \epsilon^2 \mathbf{u}_{\alpha,2} + \dots$$

$$p_\alpha \approx p_{\alpha,0} + \epsilon p_{\alpha,1} + \epsilon^2 p_{\alpha,2} + \dots$$

$$\phi \approx 0 + \epsilon \phi_1 + \epsilon^2 \phi_2 + \dots$$

($p_{\alpha,0} = n_{\alpha,0} k_B T_\alpha$; $\epsilon \ll 1$ is a *smallness parameter*).

Reductive perturbation technique (*continued*)

– 3rd step. Project on Fourier space, i.e. consider $\forall m = 1, 2, \dots$

$$S_m = \sum_{l=-m}^m \hat{S}_l^{(m)} e^{il(\mathbf{k} \cdot \mathbf{r} - \omega t)} = \hat{S}_0^{(m)} + 2 \sum_{l=1}^m \hat{S}_l^{(m)} \cos l(\mathbf{k} \cdot \mathbf{r} - \omega t)$$

for $S_m = (n_m, \{u_{x,m}, u_{y,m}\}, p_m, \phi_m)$, i.e. essentially:

$$n_1 = n_0^{(1)} + \tilde{n}_1^{(1)} \cos \theta, \quad n_2 = n_0^{(2)} + \tilde{n}_1^{(2)} \cos \theta + \tilde{n}_2^{(2)} \cos 2\theta, \text{ etc.}$$

Reductive perturbation technique (continued)

– 3rd step. Project on Fourier space, i.e. consider $\forall m = 1, 2, \dots$

$$S_m = \sum_{l=-m}^m \hat{S}_l^{(m)} e^{il(\mathbf{k} \cdot \mathbf{r} - \omega t)} = \hat{S}_0^{(m)} + 2 \sum_{l=1}^m \hat{S}_l^{(m)} \cos l(\mathbf{k} \cdot \mathbf{r} - \omega t)$$

for $S_m = (n_m, \{u_{x,m}, u_{y,m}\}, p_m, \phi_m)$, i.e. essentially:

$$n_1 = n_0^{(1)} + \tilde{n}_1^{(1)} \cos \theta, \quad n_2 = n_0^{(2)} + \tilde{n}_1^{(2)} \cos \theta + \tilde{n}_2^{(2)} \cos 2\theta, \text{ etc.}$$

– 4rth step. *Oblique modulation* assumption:

the **slow amplitudes** $\hat{\phi}_l^{(m)}$, etc. vary *only along* the x -axis:

$$\hat{S}_l^{(m)} = \hat{S}_l^{(m)}(X_j, T_j), \quad j = 1, 2, \dots$$

while the **fast carrier phase** $\theta = \mathbf{k} \cdot \mathbf{r} - \omega t$ is now:

$$k_x x + k_y y - \omega t = k r \cos \alpha - \omega t .$$

First-order solution ($\sim \epsilon^1$)

Substituting and isolating terms in $m = 1$, we obtain:

□ The *dispersion relation* $\omega = \omega(k)$:

$$\omega^2 = \omega_{p,\alpha}^2 \frac{k^2}{k^2 + k_D^2} + \gamma v_{th}^2 k^2 \quad (2)$$

with $k_D = \lambda_D^{-1}$, where

$$\omega_{p,\alpha} = \left(\frac{4\pi n_{\alpha,0} q_\alpha^2}{m_\alpha} \right)^{1/2}, \quad \lambda_{D,\alpha} = \left(\frac{k_B T_\alpha}{4\pi n_{\alpha,0} q_\alpha^2} \right)^{1/2}, \quad v_{th} = \left(\frac{T_\alpha}{m_\alpha} \right)^{1/2}$$

□ The *solution(s)* for the **1st-harmonic amplitudes** (e.g. $\propto \phi_1^{(1)}$):

$$n_1^{(1)} = s \frac{1 + k^2}{\beta} \phi_1^{(1)} = \frac{1}{\gamma} p_1^{(1)} = \frac{k}{\omega \cos \theta} u_{1,x}^{(1)} = \frac{k}{\omega \sin \theta} u_{1,y}^{(1)} \quad (3)$$

Second-order solution ($\sim \epsilon^2$)

□ From $m = 2, l = 1$, we obtain the relation:

$$\frac{\partial \psi}{\partial T_1} + v_g \frac{\partial \psi}{\partial X_1} = 0 \quad (4)$$

where

– $\psi = \phi_1^{(1)}$ is the potential correction ($\sim \epsilon^1$);

– $v_g = \frac{\partial \omega(k)}{\partial k_x}$ is the **group velocity** along \hat{x} ;

– the wave's envelope satisfies: $\psi = \psi(\epsilon(x - v_g t)) \equiv \psi(\zeta)$.

□ The solution, up to $\sim \epsilon^2$, is of the form:

$$\phi \approx \epsilon \psi \cos \theta + \epsilon^2 [\phi_0^{(2)} + \phi_1^{(2)} \cos \theta + \phi_2^{(2)} \cos 2\theta] + \mathcal{O}(\epsilon^3),$$

etc. (+ similar expressions for n_d, u_x, u_y, p_d): \rightarrow **Harmonics!**

Third-order solution ($\sim \epsilon^3$)

- Compatibility equation (from $m = 3, l = 1$), in the form of:

$$i \frac{\partial \psi}{\partial \tau} + P \frac{\partial^2 \psi}{\partial \zeta^2} + Q |\psi|^2 \psi = 0. \quad (5)$$

i.e. a *Nonlinear Schrödinger-type Equation (NLSE)* .

- Variables: $\zeta = \epsilon(x - v_g t)$ and $\tau = \epsilon^2 t$;

- Dispersion coefficient P*:

$$P = \frac{1}{2} \frac{\partial^2 \omega}{\partial k_x^2} = \frac{1}{2} \left[\omega''(k) \cos^2 \alpha + \omega'(k) \frac{\sin^2 \alpha}{k} \right]; \quad (6)$$

- Nonlinearity coefficient Q*: ...

A (*lengthy!*) function of k , **angle α** and $T_e, T_i, \dots \rightarrow$ (*omitted*).

4. Modulational (in)stability analysis

- The NLSE admits the *harmonic wave solution*:

$$\psi = \hat{\psi} e^{iQ|\hat{\psi}|^2\tau} + \text{c.c.}$$

- *Perturb* the amplitude by setting: $\hat{\psi} = \hat{\psi}_0 + \epsilon \hat{\psi}_{1,0} \cos(\tilde{k}\zeta - \tilde{\omega}\tau)$

- We obtain the *(perturbation) dispersion relation*:

$$\tilde{\omega}^2 = P^2 \tilde{k}^2 \left(\tilde{k}^2 - 2\frac{Q}{P}|\hat{\psi}_{1,0}|^2 \right). \quad (7)$$

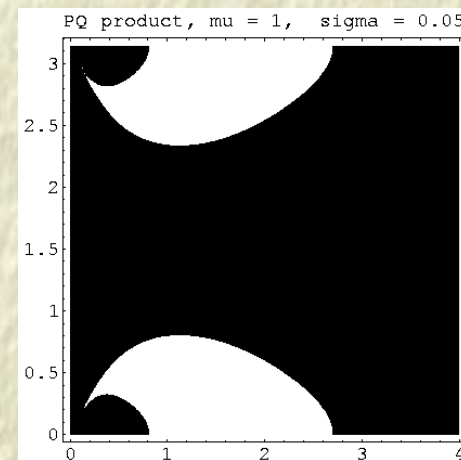
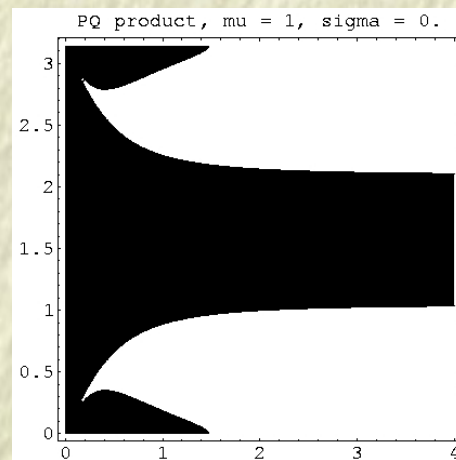
- If $PQ < 0$: the amplitude ψ is *stable* to external perturbations;

- If $PQ > 0$: the amplitude ψ is *unstable* for $\tilde{k} < \sqrt{2\frac{Q}{P}}|\psi_{1,0}|$.

Stability profile (IAW): Angle α versus wavenumber k

Typical values: $Z_i = +1$ (hydrogen plasma), $\gamma = 2$.

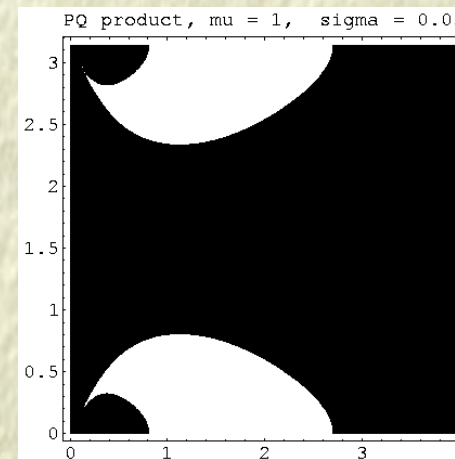
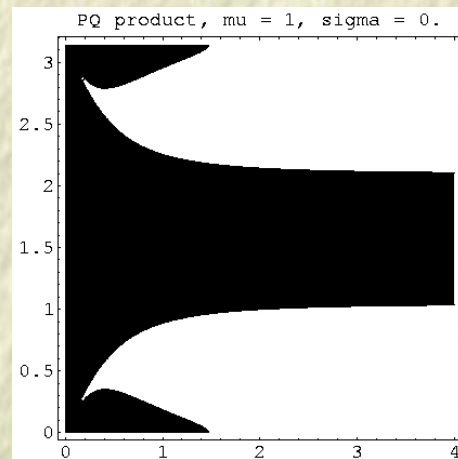
– *ion-acoustic waves*; cold ($\sigma = 0$) vs. *warm* ($\sigma \neq 0$) fluid:



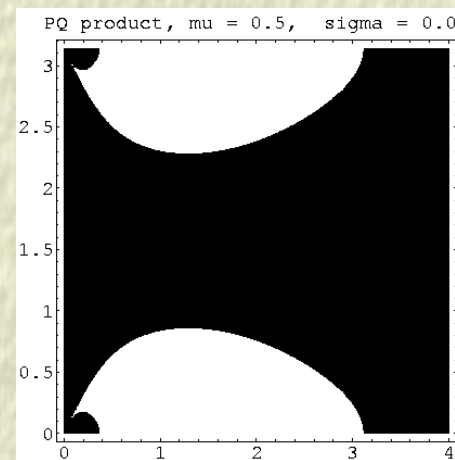
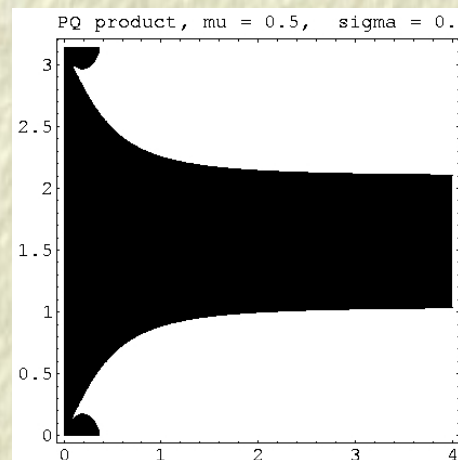
Stability profile (IAW): Angle α versus wavenumber k

Typical values: $Z_i = +1$ (hydrogen plasma), $\gamma = 2$.

– *Ion-acoustic waves*; cold ($\sigma = 0$) vs. warm ($\sigma \neq 0$) fluid:



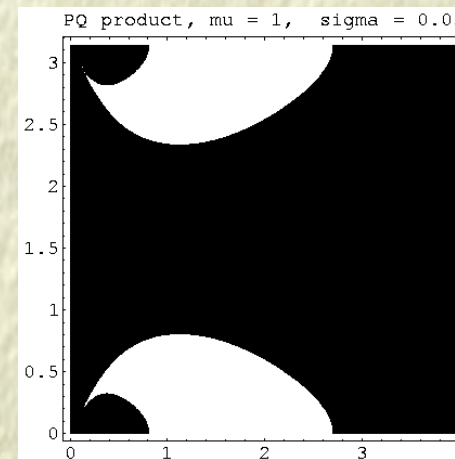
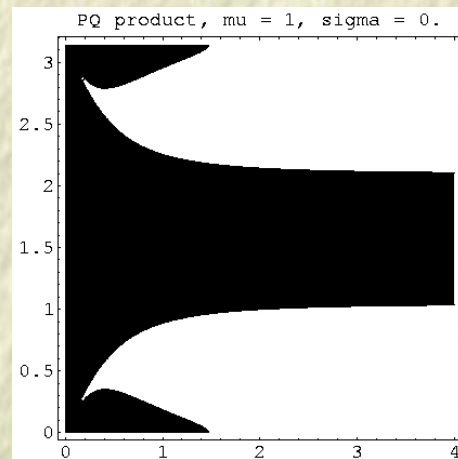
– *Dust-ion acoustic waves*, i.e. in the presence of *negative dust* ($n_{d,0}/n_{i,0} = 0.5$):



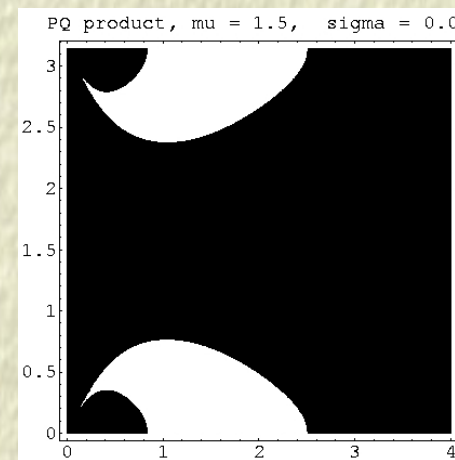
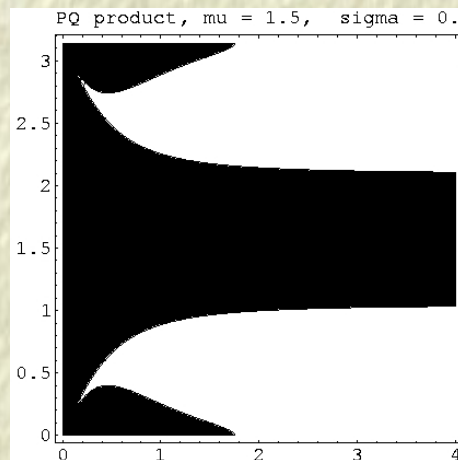
Stability profile (IAW): Angle α versus wavenumber k

Typical values: $Z_i = +1$ (hydrogen plasma), $\gamma = 2$.

– *Ion-acoustic waves*; cold ($\sigma = 0$) vs. warm ($\sigma \neq 0$) fluid:



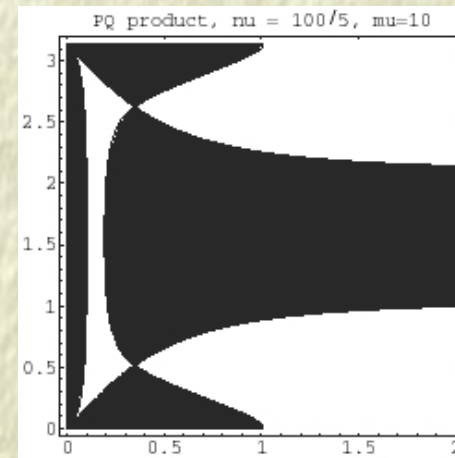
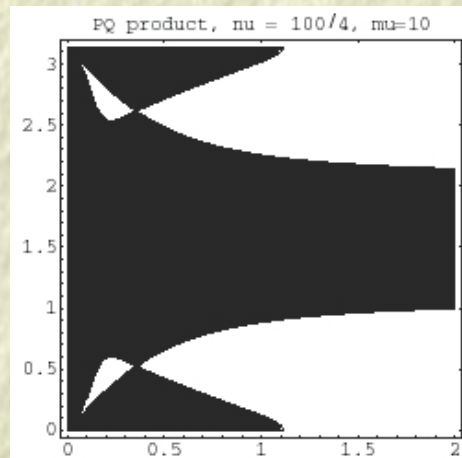
– *Dust-ion acoustic waves*, i.e. in the presence of *positive dust* ($n_{d,0}/n_{i,0} = 0.5$):



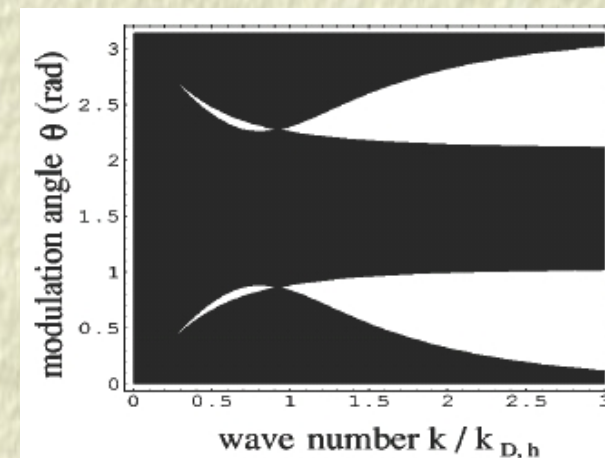
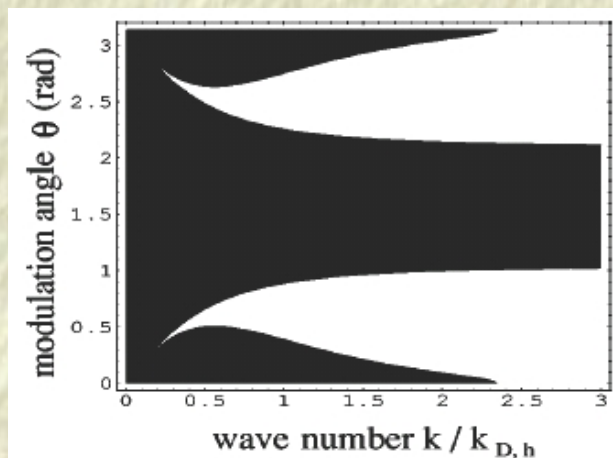
Stability profile (IAW/EAW): Angle α versus wavenumber k

Typical values: $Z_i = +1$ (hydrogen plasma), $\gamma = 2$.

- *Ion acoustic waves*, in the presence of 2 electron populations:



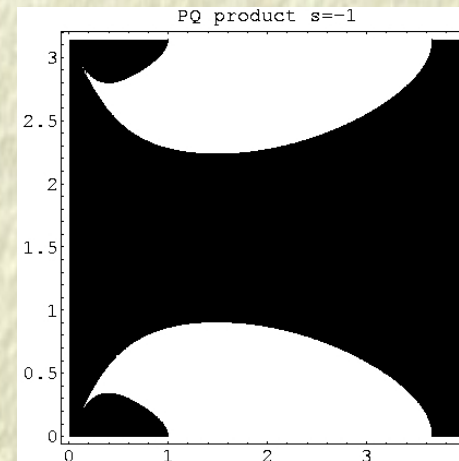
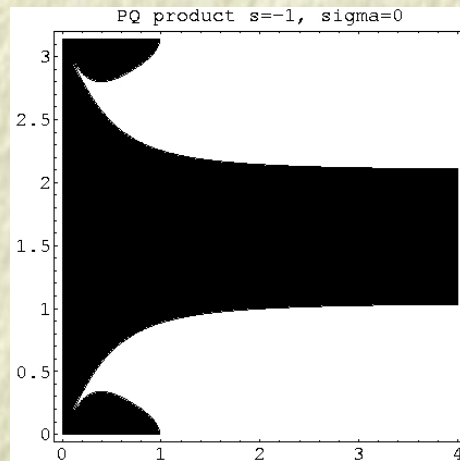
- *Electron acoustic waves (+ cold electrons)*:



Stability profile (DAW): Angle α versus wavenumber k

Typical values: $Z_d/Z_i \approx 10^3$, $T_e/T_i \approx 10$, $n_{d,0}/n_{i,0} \approx 10^{-3}$, $\gamma = 2$.

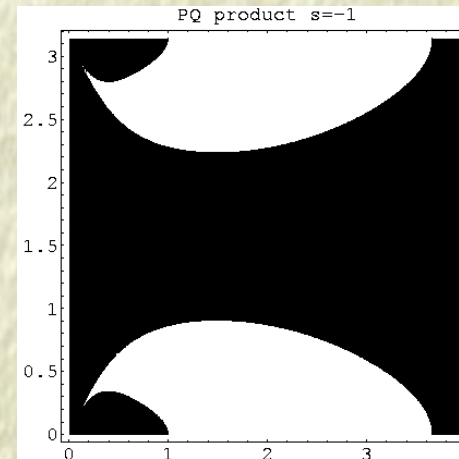
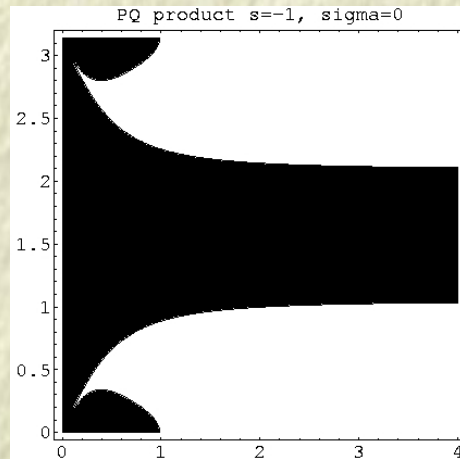
– Negative dust: $s = -1$; cold ($\sigma = 0$) vs. warm ($\sigma \neq 0$) fluid:



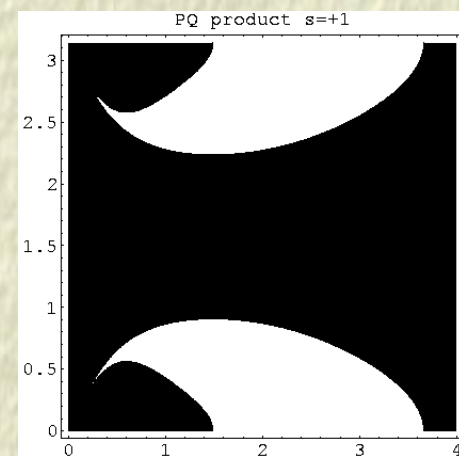
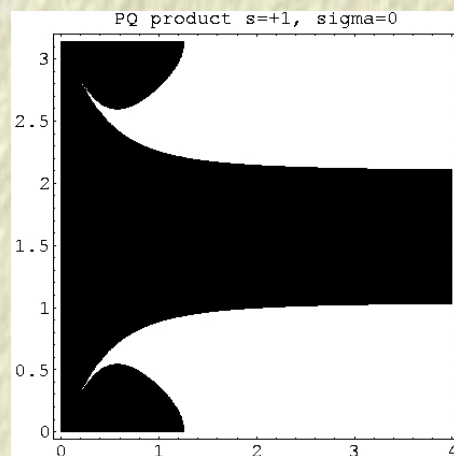
Stability profile (DAW): Angle α versus wavenumber k

Typical values: $Z_d/Z_i \approx 10^3$, $T_e/T_i \approx 10$, $n_{d,0}/n_{i,0} \approx 10^{-3}$, $\gamma = 2$.

– Negative dust: $s = -1$; cold ($\sigma = 0$) vs. warm ($\sigma \neq 0$) fluid:



– The same plot for positive dust ($s = +1$):



5. Localized envelope excitations (solitons)

□ The NLSE:

$$i \frac{\partial \psi}{\partial \tau} + P \frac{\partial^2 \psi}{\partial \zeta^2} + Q |\psi|^2 \psi = 0$$

accepts various **soliton solutions**: $\psi = \rho e^{i\Theta}$;

the *total* electric potential is then: $\phi \approx \epsilon \rho \cos(\mathbf{k}\mathbf{r} - \omega t + \Theta)$

where the amplitude ρ and phase correction Θ depend on ζ, τ .

5. Localized envelope excitations (solitons)

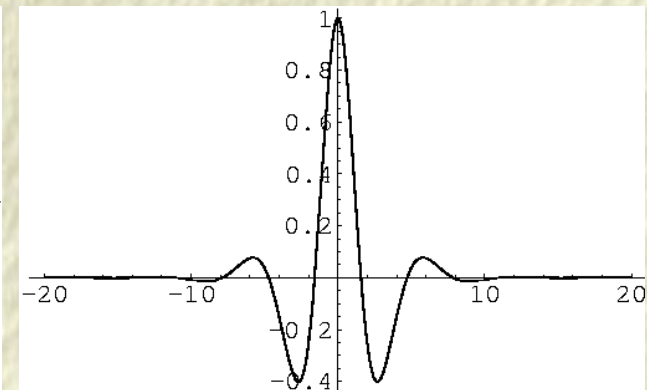
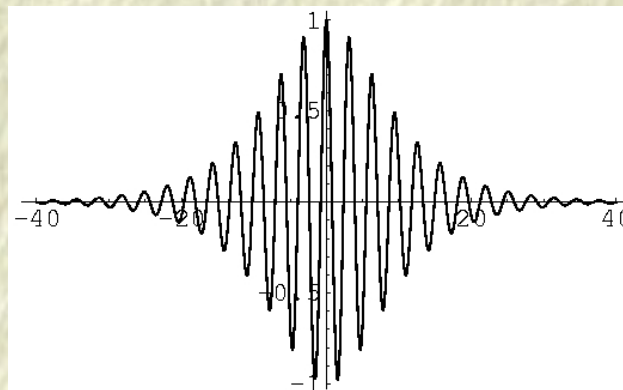
- The NLSE accepts various **soliton solutions**: $\psi = \rho e^{i\Theta}$;
the *total* electric potential is then: $\phi \approx \epsilon \rho \cos(\mathbf{k}\mathbf{r} - \omega t + \Theta)$
where the amplitude ρ and phase correction Θ depend on ζ, τ .

- **Bright-type envelope soliton (pulse)**:

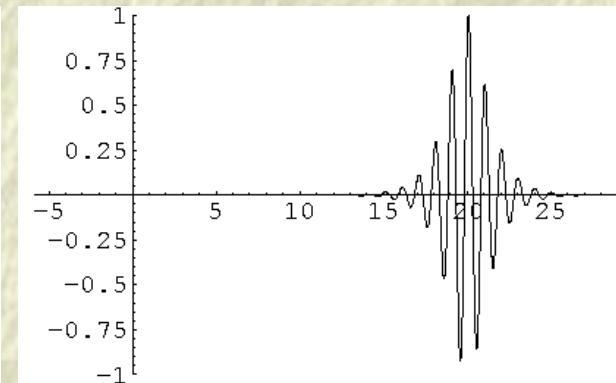
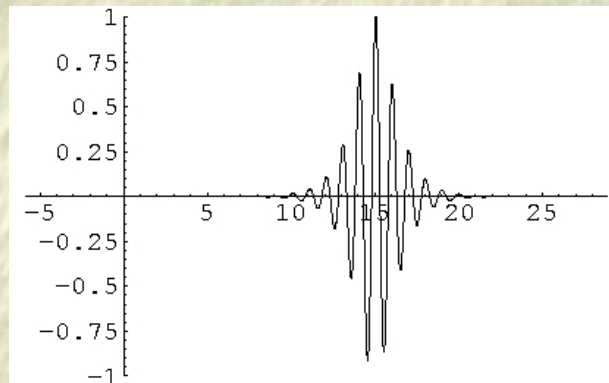
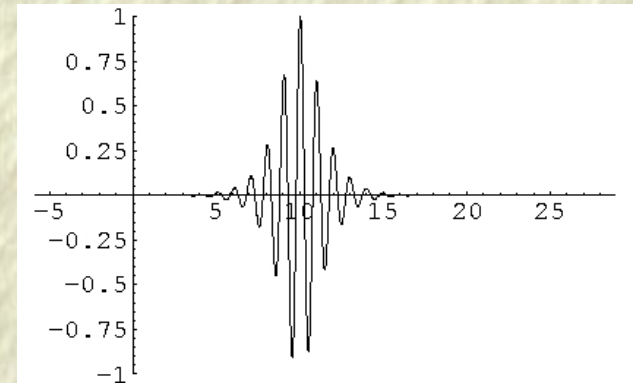
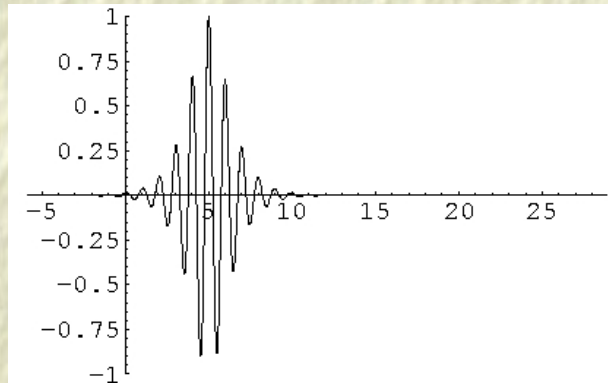
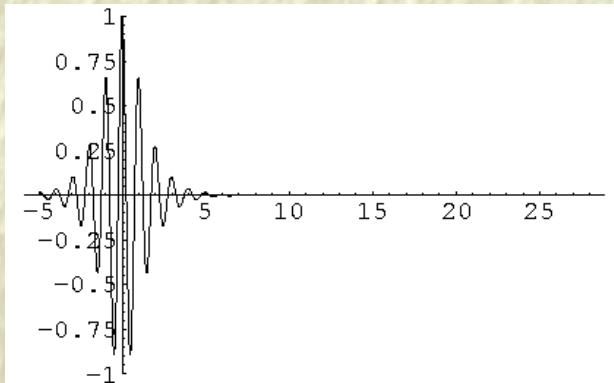
$$\rho = \rho_0 \operatorname{sech}\left(\frac{\zeta - v\tau}{L}\right), \quad \Theta = \frac{1}{2P} \left[v\zeta - \left(\Omega + \frac{1}{2}v^2\right)\tau \right]. \quad (8)$$

$$L = \sqrt{\frac{2P}{Q}} \frac{1}{\rho_0}$$

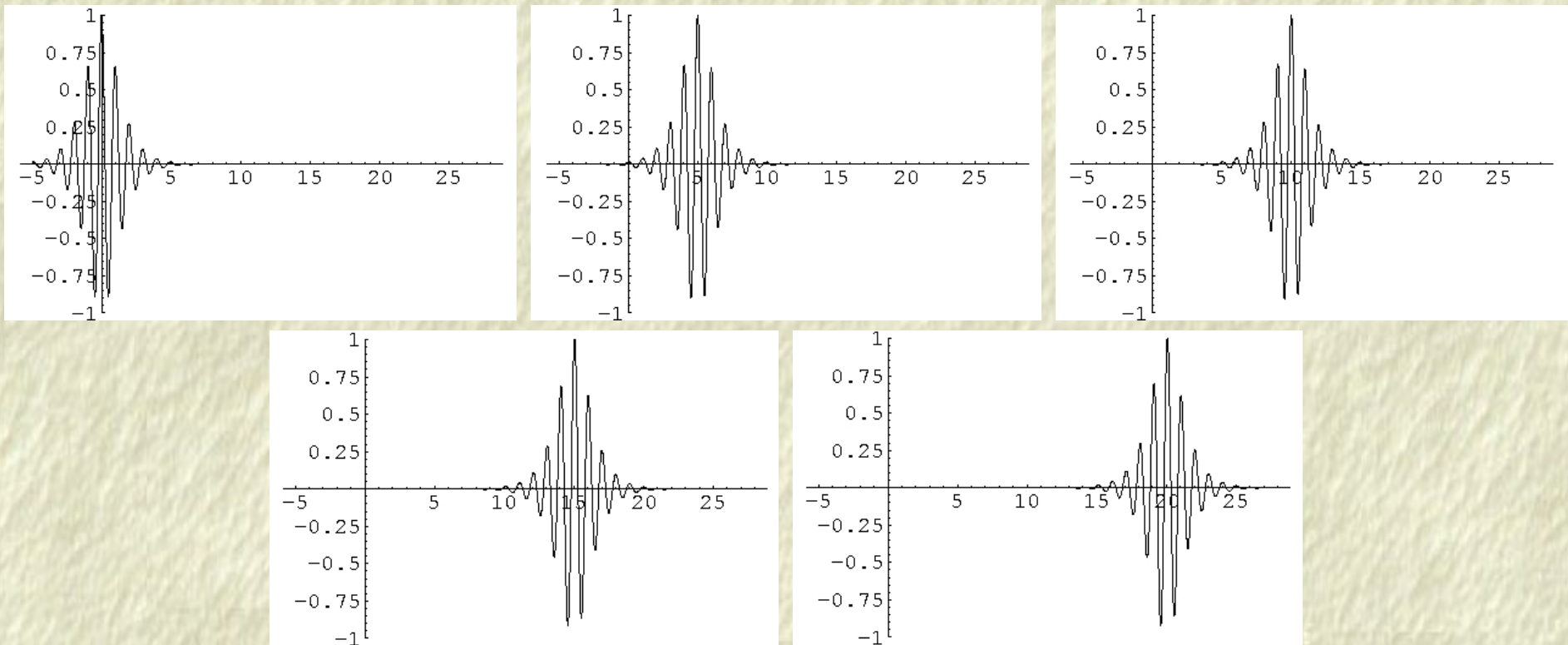
This is a
propagating
(and *oscillating*)
localized **pulse**:



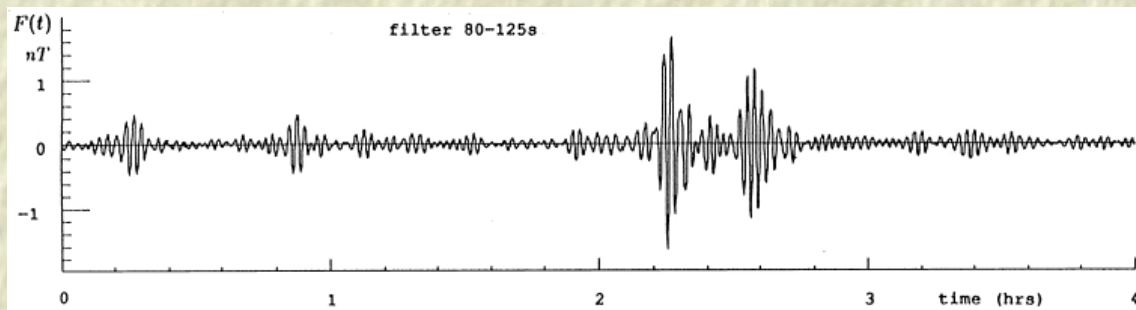
Propagation of a bright envelope soliton (pulse)



Propagation of a bright envelope soliton (pulse)

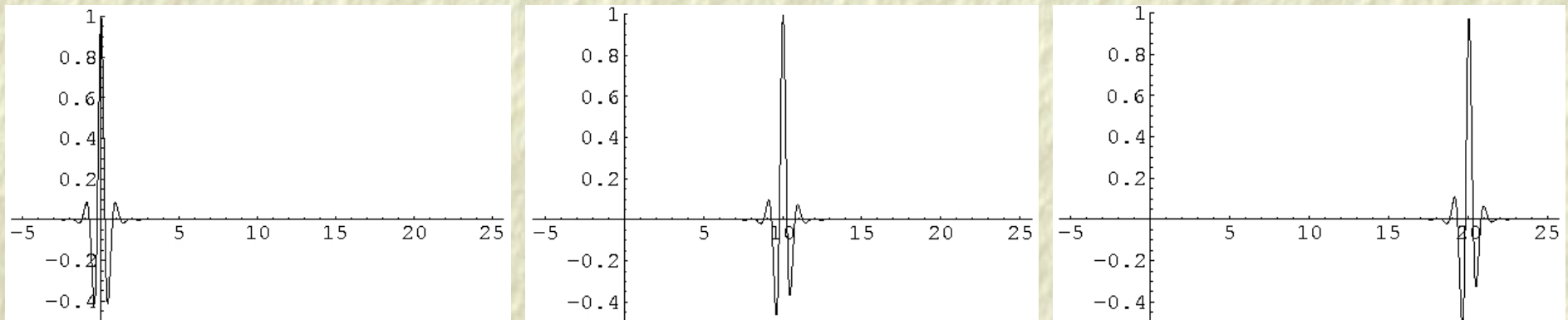


Cf. electrostatic plasma wave data from satellite observations:

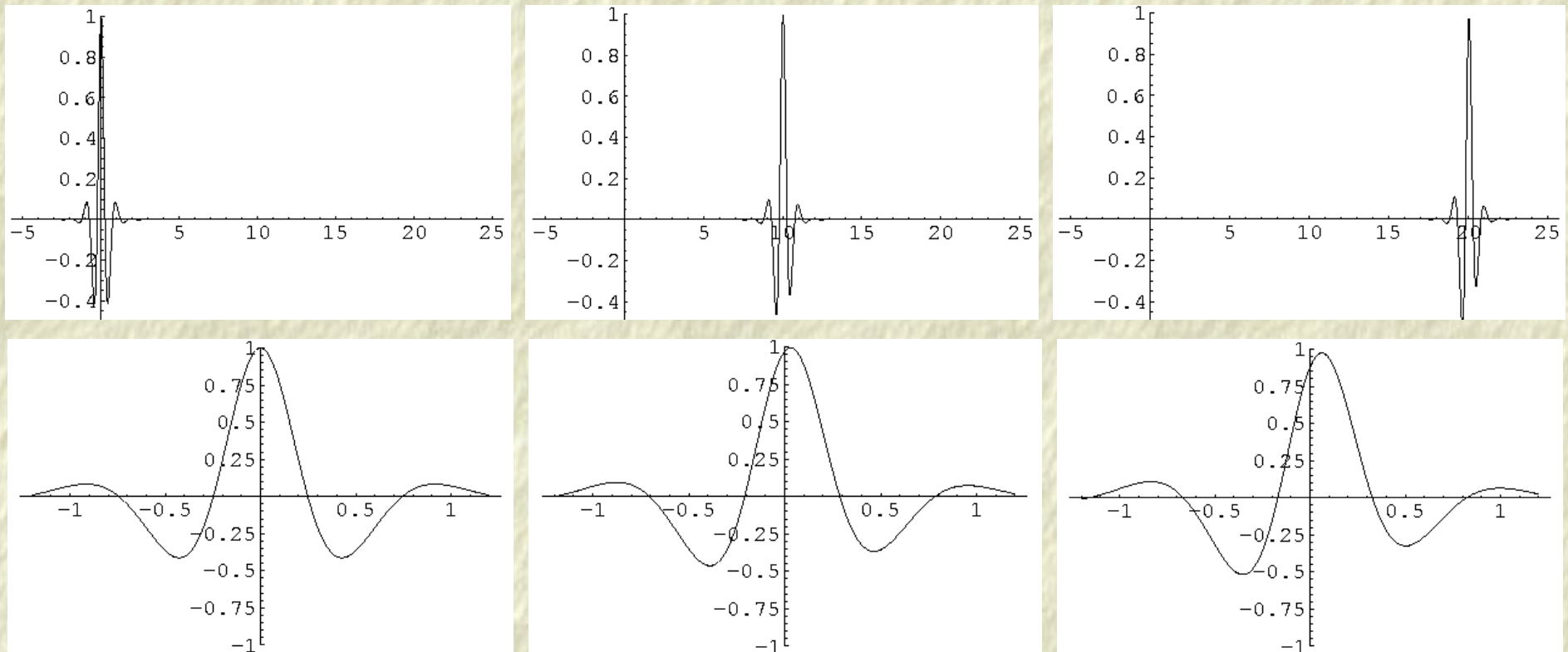


(from: [Ya. Alpert, Phys. Reports **339**, 323 (2001)])

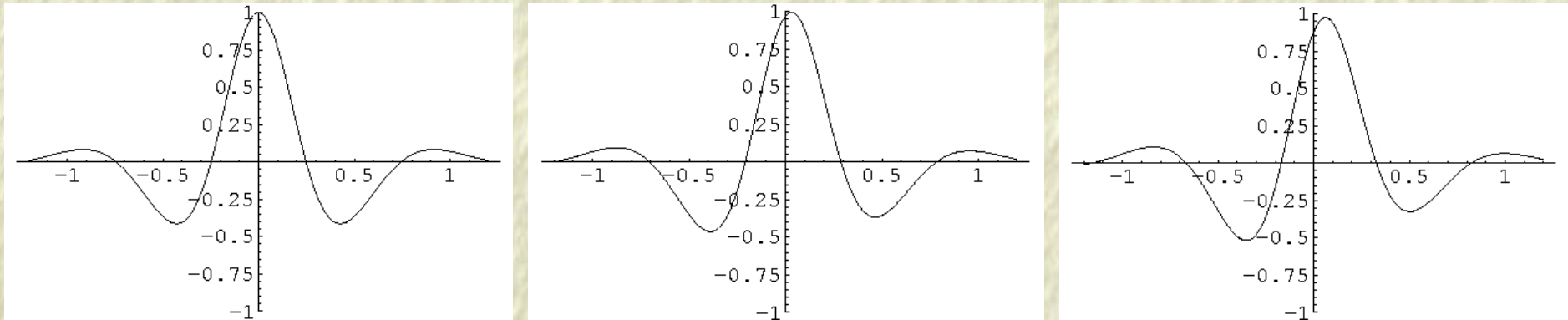
Propagation of a bright envelope soliton (continued...)



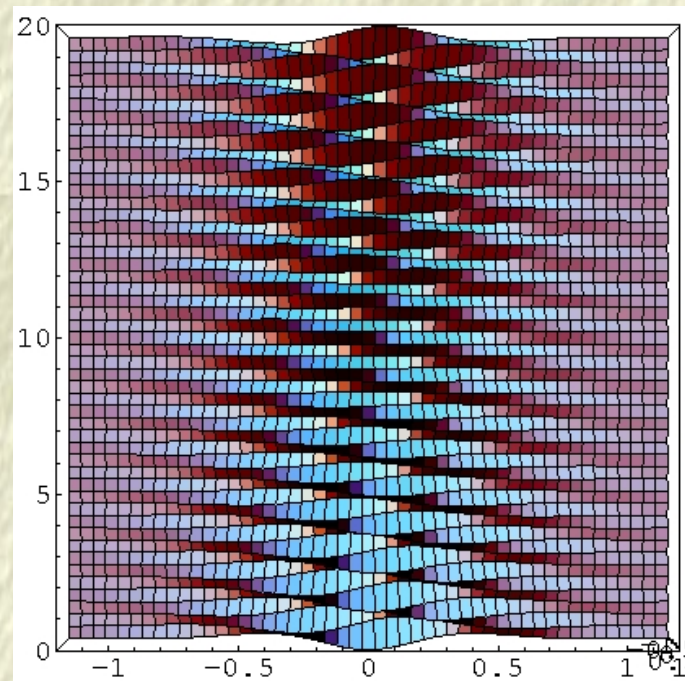
Propagation of a bright envelope soliton (continued...)



Propagation of a bright envelope soliton (continued...)



Rem.: *Time-dependent phase* \rightarrow *breathing effect* (at rest frame):

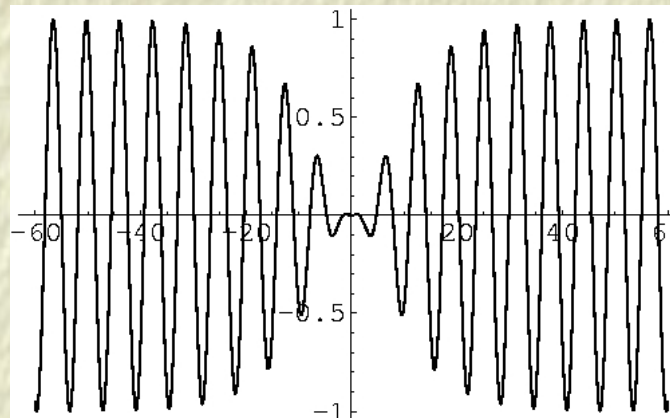


Localized envelope excitations (part 2)

□ Dark-type envelope solution (*hole soliton*):

$$\begin{aligned}\rho &= \pm \rho_1 \left[1 - \operatorname{sech}^2 \left(\frac{\zeta - v\tau}{L'} \right) \right]^{1/2} = \pm \rho_1 \tanh \left(\frac{\zeta - v\tau}{L'} \right), \\ \Theta &= \frac{1}{2P} \left[v\zeta - \left(\frac{1}{2}v^2 - 2PQ\rho_1 \right) \tau \right] \\ L' &= \sqrt{2 \left| \frac{P}{Q} \right| \frac{1}{\rho_1}}\end{aligned}\tag{9}$$

This is a
propagating
localized hole
(zero density void):

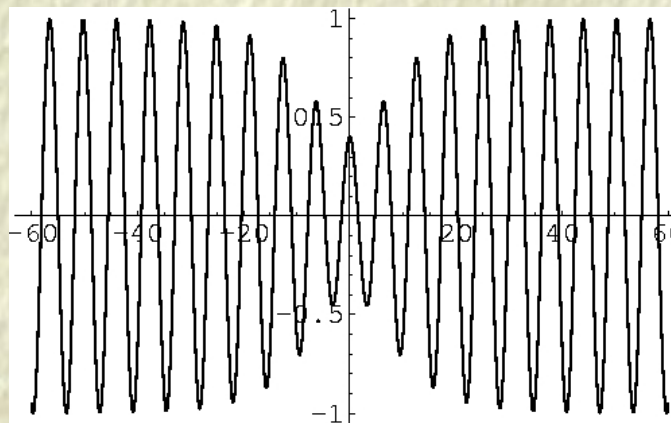


Localized envelope excitations (part 3)

□ Grey-type envelope solution (*void soliton*):

$$\begin{aligned}\rho &= \pm \rho_2 \left[1 - a^2 \operatorname{sech}^2 \left(\frac{\zeta - v\tau}{L''} \right) \right]^{1/2} \\ \Theta &= \dots \\ L'' &= \sqrt{2 \left| \frac{P}{Q} \right| \frac{1}{a\rho_2}}\end{aligned}\tag{10}$$

This is a
propagating
(*non zero-density*)
void:



6. Conclusions

- ❑ *Amplitude Modulation* (due to carrier self-interaction) is an inherent feature of electrostatic (ES) plasma mode dynamics;
- ❑ ES waves may undergo spontaneous *modulational instability*; this is an intrinsic feature of nonlinear dynamics, which ...
- ❑ ... may lead to the formation of *envelope localized structures* (envelope solitons), in account for *energy localization* phenomena widely observed in space and laboratory.
- ❑ The RP analytical framework permits modeling of these mechanisms in terms of intrinsic physical (plasma) parameters.
→ *a small step towards understanding the nonlinear behaviour of Plasmas.*

Thank You !

Ioannis Kourakis
Padma Kant Shukla

Acknowledgments:

FSAW organizers

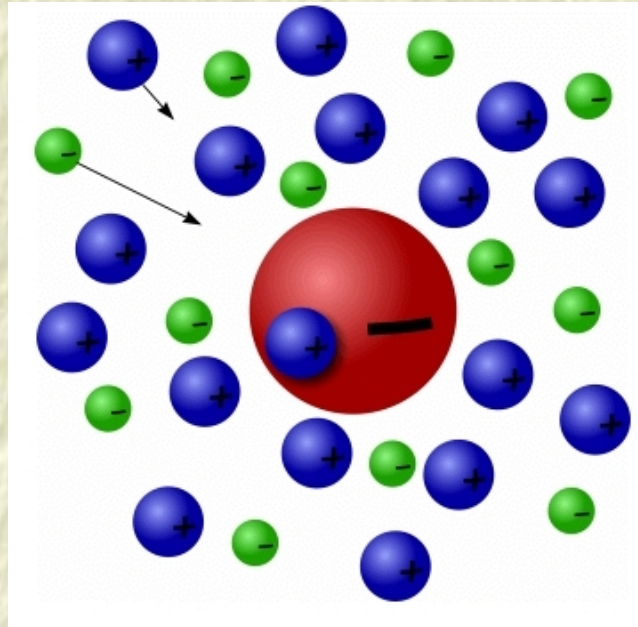
Material from:

I. Kourakis & P. K. Shukla, *Phys. Plasmas*, **10** (9), 3459 (2003);
idem, *PRL*, **69** (3), 036411 (2003).
idem, *J. Phys. A*, **36** (47), 11901 (2003).
idem, *European Phys. J. D*, **28**, 109 (2004).

Available at: www.tp4.rub.de/~ioannis

ioannis@tp4.rub.de

Appendix: DP – Dusty Plasmas (or Complex Plasmas): definition and characteristics



□ Ingredients:

- **electrons** e^- (charge $-e$, mass m_e),
- **ions** i^+ (charge $+Z_i e$, mass m_i), and
- charged micro-particles \equiv **dust grains** d (most often d^-):
 charge $Q = \pm Z_d e \sim \pm(10^3 - 10^4) e$,
 mass $M \sim 10^9 m_p \sim 10^{13} m_e$,
 radius $r \sim 10^{-2} \mu\text{m}$ up to $10^2 \mu\text{m}$.

Origin: Where does the dust come from?

- ❑ **Space:** cosmic debris (silicates, graphite, amorphous carbon), comet dust, man-made pollution (Shuttle exhaust, satellite remnants), ...
- ❑ **Atmosphere:** extraterrestrial dust (meteorites): $\geq 2 \cdot 10^4$ tons a year (!)(*), atmospheric pollution, chemical aerosols, ...
- ❑ **Fusion reactors:** plasma-surface interaction, carbonaceous particulates resulting from wall erosion-created debris (graphite, CFCs: Carbon Fiber Composites, ...)
- ❑ **Laboratory:** (man-injected) melamine–formaldehyde particulates (**) injected in *rf* or *dc* discharges; 3d (= multiple 2d layers) or 1d (by appropriate experimental setting) crystals.

Sources: [P. K. Shukla & A. Mamun 2002], (*) [DeAngelis 1992], (**) [G. E. Morfill *et al.* 1998]

Some unique features of *the Physics of Dusty Plasmas*:

- ❑ Complex plasmas are *overall charge neutral*; most (sometimes *all!*) of the negative charge resides on the microparticles;
- ❑ The microparticles can be *dynamically dominant*: mass density $\approx 10^2$ times higher than the neutral gas density and $\approx 10^6$ times higher than the ion density !
- ❑ Studies in *slow motion* are possible due to high M i.e. *low Q/M ratio* (e.g. *dust plasma frequency*: $\omega_{p,d} \approx 10 - 100$ Hz);
- ❑ The (large) microparticles can be *visualised* individually and studied at the kinetic level (with a digital camera!) \rightarrow video;
- ❑ Dust charge ($Q \neq \text{const.}$) is now a dynamical variable, associated to a *new collisionless damping mechanism*;

(...continued) More “heretical” features are:

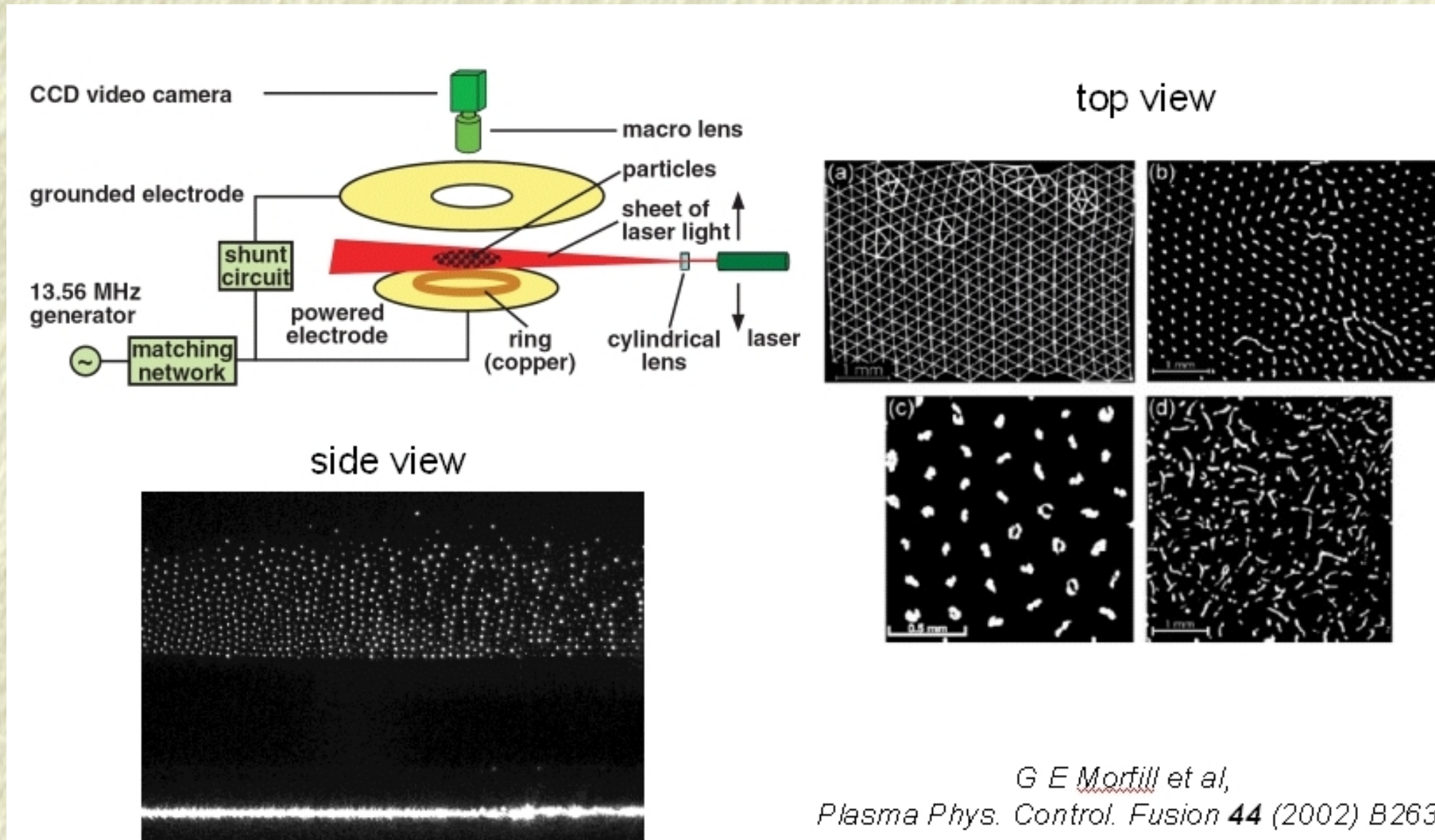
- ❑ Important *gravitational* (compared to the *electrostatic*) interaction **effects**; gravito-plasma physics; gravito-electrodynamics; Jeans-type (gravitational) plasma instabilities etc. [Verheest PPCF 41 A445, 1999]
- ❑ Complex plasmas can be *strongly coupled* and exist in “*liquid*” ($1 < \Gamma < 170$) and “*crystalline*” ($\Gamma > 170$ [IKEZI 1986]) **states**, depending on the value of the *effective coupling (plasma) parameter* Γ ;

$$\Gamma_{eff} = \frac{\langle E_{potential} \rangle}{\langle E_{kinetic} \rangle} \sim \frac{Q^2}{r T} e^{-r/\lambda_D}$$

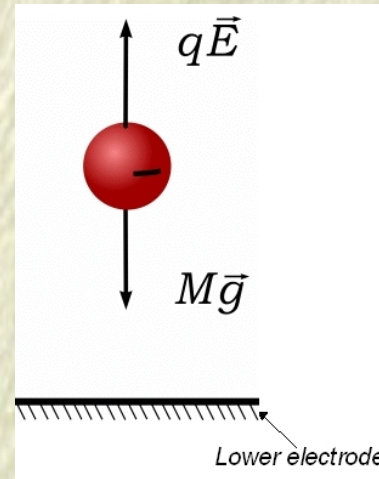
(r : inter-particle distance, T : temperature, λ_D : Debye length).

Cf.: Lecture given by *Tito Mendonça* (Sat. July 17, 2004).

Dust laboratory experiments on Earth:

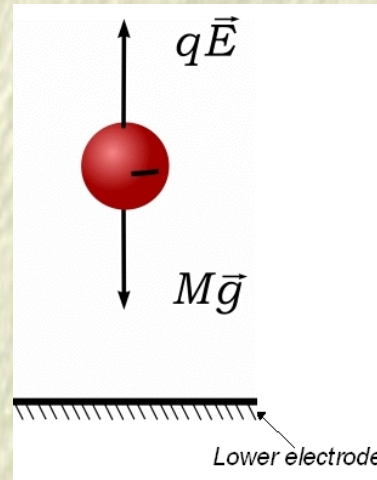


Earth experiments are subject to **gravity**:



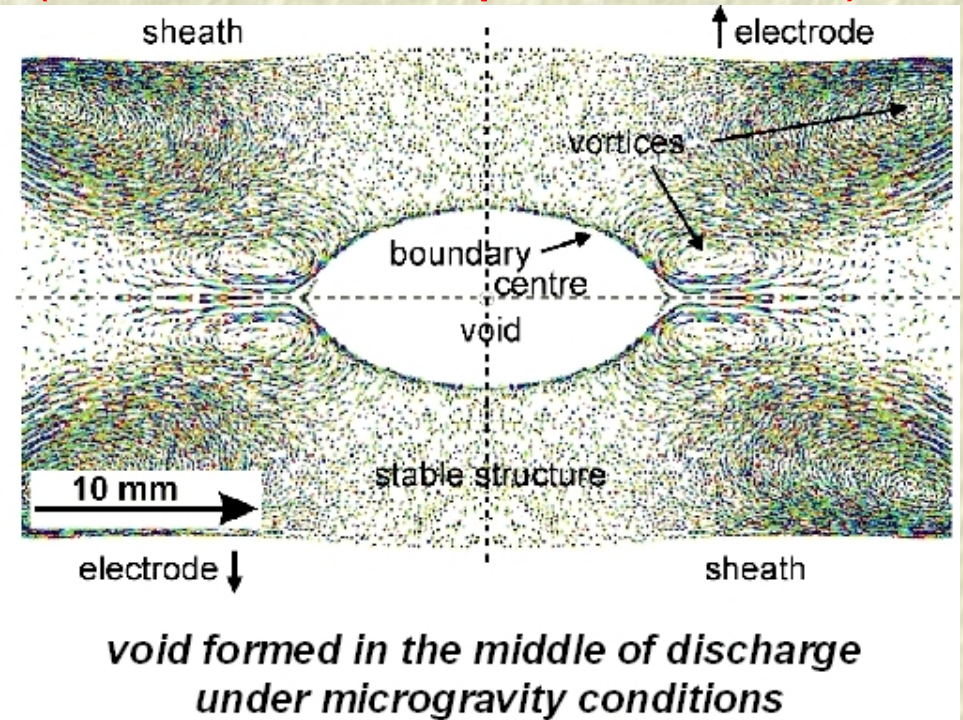
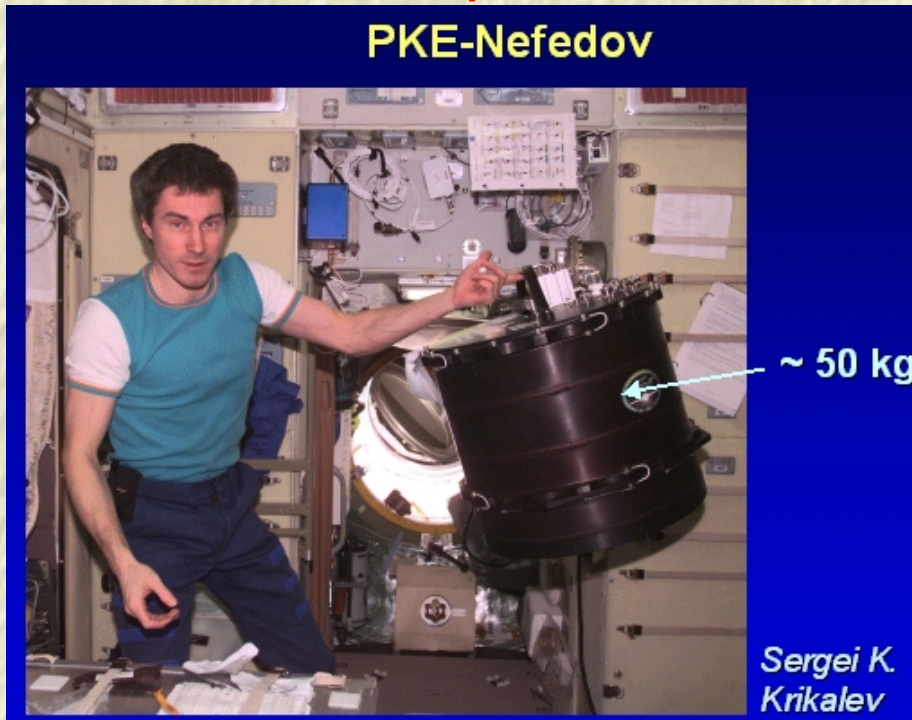
levitation in strong sheath electric field

Earth experiments are subject to **gravity**:



levitation in strong sheath electric field

thus ...: **Dust experiments in ISS (International Space Station)**



(Online data from: Max Planck Institut - CIPS).