

Nonlinear excitations in dusty plasma (Debye) crystals: What plasma physics can learn from nonlinear lattice theories

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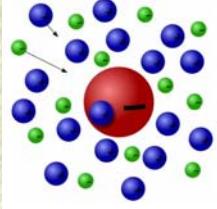
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2

1. Dusty Plasmas (or Complex Plasmas): prerequisites



Dusty Plasmas (DP):

→ electrons e^- (charge $-e$, mass m_e),

→ ions i^+ (charge $+Z_i e$, mass m_i),

→ charged particulates ≡ dust grains d^\pm (most often d^-):

charge $Q = sZ_d e \sim \pm(10^3 - 10^4) e$, ($s = \pm 1$)

mass $M \sim 10^9 m_p \sim 10^{13} m_e$,

radius $r \sim 10^{-2} \mu\text{m}$ up to $10^2 \mu\text{m}$.

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4

Dusty Plasma physics: unique mesoscopic features

- Studies in slow motion are possible due to high M i.e. low Q/M ratio (e.g. dust plasma frequency: $\omega_{p,d} \approx 10 - 100 \text{ Hz}$);
- The (large) microparticles can be visualised individually and studied at the kinetic level (with a digital camera!);
- Contrary to weakly-coupled $e-i$ plasmas ($\Gamma \ll 1$), Complex Plasmas can be strongly coupled and exist in "liquid" ($1 < \Gamma < 170$) and "crystalline" ($\Gamma > 170$ [IKEZI 1986]) states, depending on the value of:

$$\Gamma_{eff} = \frac{\langle E_{potential} \rangle}{\langle E_{kinetic} \rangle} \approx \frac{\frac{Q^2}{r} e^{-r/\lambda_D}}{k_B T}$$

(r : inter-particle distance, T : temperature, λ_D : Debye length, k_B : Boltzmann's constant).

→ Dusty Plasma (Debye/Yukawa) Crystals!!! (DPCs)

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1

Outline

- Dusty plasmas (DP) & DP crystals (DPCs): Prerequisites.
 - Focus: 1d dust crystals in lab.
 - Nonlinearity in 1d DP crystals: Origin and modelling.
- Transverse dust-lattice (TDL) excitations: amplitude modulation, transverse envelope structures.
- Longitudinal dust-lattice (LDL) excitations: amplitude modulation, envelope structures, solitons.
- 1d Discrete Breather excitations (intrinsic localized modes)
- Conclusions.

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3

Where/how do dusty plasmas occur?

- Space: cosmic debris (silicates, graphite, amorphous carbon), comet tails, man-made pollution (Shuttle exhaust, satellites), ...
- Earth's atmosphere: volcanic eruptions, extraterrestrial origin (meteorites) ($\geq 2 \cdot 10^4$ tons/yr!), pollution, aerosols, ...;
- Fusion devices: plasma-surface interaction in the divertor region (graphite, CFCs), UFOs, ITER safety concern, ...;
- Technology: Semiconductor industry, Si microchip, dust contamination, solar cell stabilization ...;
- Laboratory: (man-injected) melamine-formaldehyde particulates injected in rf or dc discharges.

Sources: P. K. Shukla & A. Mamun, book (IoP, 2002), G. E. Morfill et al., 1998, etc.

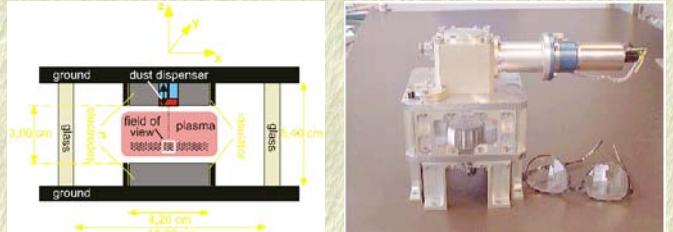
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5

Dust Crystal experiments (1):



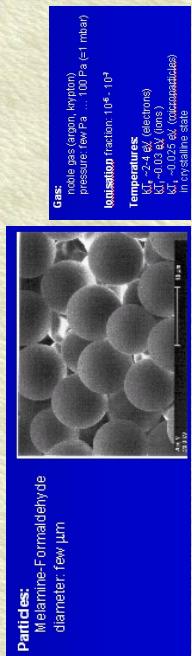
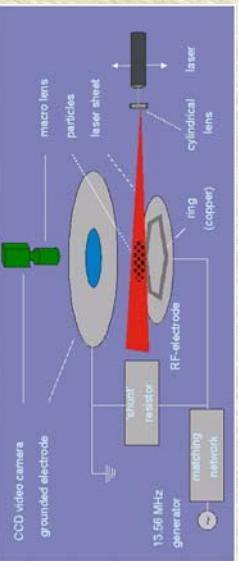
- Theoretical prediction: 1986 [H. Ikezi, Phys. Fluids 29, 1764 (1986)];
- Experimental realization: 1994

[H. Thomas, A. Melzer et al. PRL 73, 652 (1994); Chu & Lin I J. Phys. D 27 296 (1994), Hayashi & Tachibana, Jap. J. Appl. Phys. 33 L804 (1994)];

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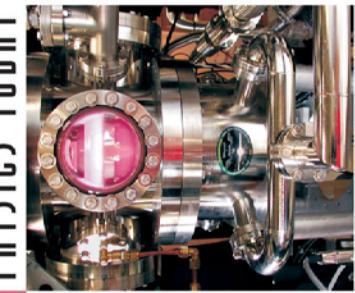
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Dust Crystal experiments (2):



[Source: H. Thomas, A. Melzer et al., PRL 1994].

PHYSICS TODAY



Looking into dusty plasmas

- Today, various experimental groups active worldwide:
 - G E Morfill (MPIP Garching, Germany), A Piej (Kiel, Germany), A Melzer(Greifswald, Germany), J Goree (Iowa, US), V Fortov (Moscow, Russia), Lin / (Taiwan), S Vladimirov (Sydney, AUS), S Takamura (Nagoya, Japan) ...

- Experiments aboard the **International Space Station (ISS)**;

- Mesoscopic analog of micro-structures; research focus:
 - phase transitions, crystallization processes,
 - relaxation times, diffusion effects,
 - phase space distribution (visually observable),
 - L & NL waves; **harmonic generation, solitons, vortices, ...**
- 3D, 2D (hexagonal, mostly), 1d lattice configurations possible
(→ **video**);
cf. Talk by D. Samsonov.

Focus on 1d DP crystals: Model Hamiltonian

$$H = \sum_n \frac{1}{2} M \left(\frac{d\mathbf{r}_n}{dt} \right)^2 + \sum_{m \neq n} U_{int}(r_{nm}) + \Phi_{ext}(\mathbf{r}_n)$$

Terms include:

- Kinetic energy**,
- $\Phi_{ext}(\mathbf{r}_n)$ accounts for '**external**' **force fields**:
may account for **confinement potentials**
and/or **sheath electric forces**, i.e. $F_{sheath}(z) = -\frac{\partial \Phi}{\partial z}$.
- Coupling: $U_{int}(r_{nm})$ is the **interaction potential energy**;

Q.: Nonlinearity: Origin: where from ?
Effect: which consequence(s) ?

Nonlinearity: Where from? (2)

- Interactions between grains**: Electrostatic character (e.g. repulsive, Debye), long-range (yet charge screened): $r_0/\lambda_D \approx 1$, **anharmonic**; typically: $U_{Debye}(r) = \frac{q^2}{r} \exp(-r/\lambda_D)$.

Expanding $U_{int}(r_{nm}) = U_{int}(\sqrt{(\Delta x_{nm})^2 + (\Delta z_{nm})^2})$ near equilibrium:
 $\Delta x_{nm} = x_n - x_{n-m} \approx m r_0$, $\Delta z_{nm} = z_n - z_{n-m} \approx 0$,
one obtains:

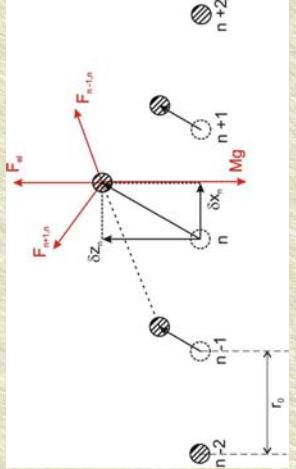
$$\begin{aligned} U_{nm}(r) \approx & \frac{1}{2} M \omega_{L,0}^2 (\Delta x_{nm})^2 + \frac{1}{2} M \omega_{T,0}^2 (\Delta z_{nm})^2 \\ & + \frac{1}{3} u_{30} (\Delta x_{nm})^3 + \frac{1}{4} u_{40} (\Delta x_{nm})^4 + \dots + \frac{1}{4} u_{04} (\Delta z_{nm})^4 + \\ & + \frac{1}{2} u_{12} (\Delta x_{nm}) (\Delta z_{nm})^2 + \frac{1}{4} u_{22} (\Delta x_{nm})^2 (\Delta z_{nm})^2 + \dots \end{aligned}$$



Figure 2: (a) Forces and (b) trapping potential profiles $U(z)$ as function of distance from the electrode for: $n_0 = 2 \times 10^8 \text{ cm}^{-3}$ (solid line), $n_0 = 3 \times 10^8 \text{ cm}^{-3}$ (dashed line). The parameters are: $P = 1.6 \text{ mbar}$, $T_e = 1 \text{ A}^V$, $T_i = T_d = 0.05 \text{ A}^V$, $R = 2.5 \mu\text{m}$, $q_d = 1.5 \text{ g cm}^{-3}$, $q_0 = 6 \text{ V}$.

Nonlinearity: Where from? (3)

→ **Coupling** among degrees of freedom induces nonlinearity:
anisotropic motion, not confined along principal axes ($\sim \hat{x}, \hat{z}$).



[cf. A. Ivlev et al., PRE **68**, 066402 (2003); I. Kourakis & P.K. Shukla, Phys. Scr. (2004)]

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Continuum coupled equations of motion

$$\begin{aligned} \ddot{u} - c_L^2 u_{xx} - \frac{c_T^2}{12} r_0^2 u_{xxx} &= \\ - 2 a_{20} r_0^3 u_x u_{xx} + 3 a_{30} r_0^4 (u_x)^2 u_{xx} \\ - a_{12} r_0^4 [(u_x)^2 u_{xx} + 2 u_x w_{xx} u_x] + 2 a_{02} r_0^3 w_x w_{xx}, \end{aligned}$$

$$\begin{aligned} \ddot{w} + c_T^2 w_{xx} + \frac{c_T^2}{12} r_0^2 w_{xxx} + \omega_g^2 w &= \\ - K_1 w^2 - K_2 w^3 + 3 a_{02} r_0^3 (w_x)^2 w_{xx} \\ + 2 a_{02} r_0^3 (u_x w_{xx} + w_x u_{xx}) - a_{12} r_0^4 [(u_x)^2 w_{xx} + 2 u_x u_{xx} w_x], \end{aligned}$$

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The vertical n -th grain displacement $\delta z_n = z_n - z_{(0)}$ obeys

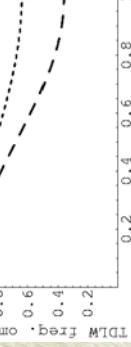
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$$* \omega_{T,0} = [-qU'(r_0)/(Mr_0)]^{1/2} = \omega_{DL}^2 \exp(-\kappa) (1 + \kappa)/\kappa^3 \quad (*)$$

* $\omega_{DL} = [q^2/(M\lambda_D^3)]^{1/2}$; λ_D is the Debye length;

* Optical dispersion relation
(backward wave, $v_g < 0$):

$$\omega^2 = \omega_g^2 - 4\omega_{T,0}^2 \sin^2(kr_0/2)$$



Cf. experiment:
T. Misawa et al., PRL **86**, 1219 (2001)
(Nagoya, Japan).
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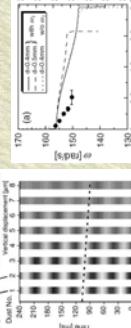
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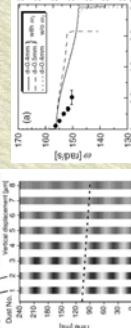
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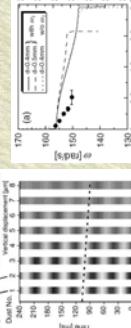
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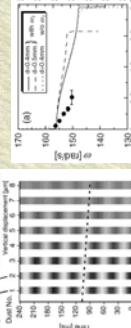
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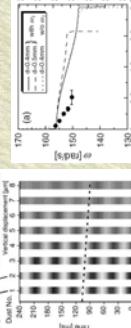
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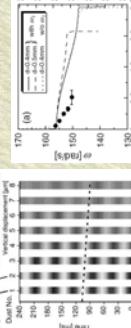
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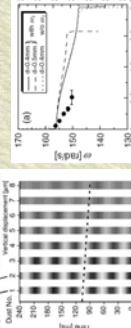
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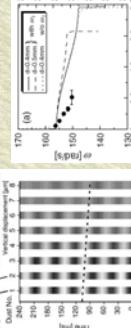
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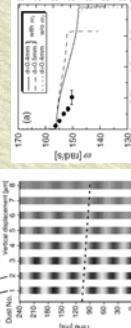
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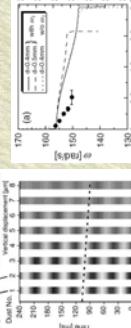
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www.iop4.rl.ac.uk/de/~iokonnis/conf/200804-1/IoP-oral.pdf | IOP Plasma Physics Group Annual Meeting, 1-4 March 2008

I. Kourakis, Nonlinear excitations in dusty plasma (Debye) crystals

2. Transverse DP-lattice excitations

The vertical n -th grain displacement $\delta z_n = z_n - z_{(0)}$ obeys

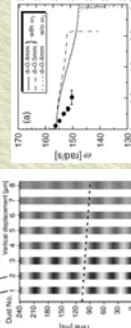
$$\frac{d^2(\delta z_n)}{dt^2} + \omega_{T,0}^2 (\delta z_{n+1} + \delta z_{n-1} - 2 \delta z_n) + \omega_g^2 \delta z_n = 0$$

$$* \omega_{T,0} = [-qU'(r_0)/(Mr_0)]^{1/2} = \omega_{DL}^2 \exp(-\kappa) (1 + \kappa)/\kappa^3 \quad (*)$$

* $\omega_{DL} = [q^2/(M\lambda_D^3)]^{1/2}$; λ_D is the Debye length;

* Optical dispersion relation
(backward wave, $v_g < 0$):

$$\omega^2 = \omega_g^2 - 4\omega_{T,0}^2 \sin^2(kr_0/2)$$



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† Cf. experiment:
B. Liu et al., PRL **91**, 255003 (2003)
(Iowa, USA).

www.tfp4.ru/b/do/~z13/annual/s/conf/200804-TDP-oral1.pdf | IOP Plasma Physics Group Annual Meeting, 1-4 March 2008

Large amplitude oscillations - envelope structures

A reductive perturbation (multiple scale) technique, viz.

$t \rightarrow \{t_0, t_1 = \epsilon t, t_2 = \epsilon^2 t, \dots\}$, $x \rightarrow \{x_0, x_1 = \epsilon x, x_2 = \epsilon^2 x, \dots\}$
yields ($\epsilon \ll 1$; damping omitted):

$$\delta z_n \approx \epsilon (A e^{i\phi_n} + c.c.) + \epsilon^2 \alpha \left[-\frac{|A|^2}{\omega_g^2} + \left(\frac{A^2}{3\omega_g^2} e^{2i\phi_n} + c.c. \right) \right] + \dots \quad (1)$$

($\phi_n = nk r_0 - \omega t$); the harmonic amplitude $A(X, T)$:

- depends on the slow variables $\{X, T\} = \{\epsilon(x - v_g t), \epsilon^2 t\}$;
- obeys the **nonlinear Schrödinger equation** (NLSE):

$$i \frac{\partial A}{\partial T} + P \frac{\partial^2 A}{\partial X^2} + Q |A|^2 A = 0, \quad (1)$$

- Dispersion coefficient: $P = \omega''(k)/2$ → see dispersion relation;

- Nonlinearity coefficient: $Q = [10\alpha^2/(3v_g^2) - 3\beta]/2\omega$.

I. Kourakis & P. K. Shukla, Phys. Plasmas, **11**, 2322 (2004). | IOP Plasma Physics Group Annual Meeting, 1-4 March 2008

www.tfp4.ru/b/do/~z13/annual/s/conf/200804-TDP-oral1.pdf | IOP Plasma Physics Group Annual Meeting, 1-4 March 2008

Menu

Modulational stability analysis & envelope structures

• $PQ > 0$: Modulational instability of the carrier, **bright solitons**.

→ TDLWs: possible for short wavelengths i.e. $k_{cr} < k < \pi/r_0$.
Rem.: $Q > 0$ for all known experimental values of α, β .
[Ilev et al., PRL **85**, 4060 (2000); Zafiu et al., PRE **63** 066403 (2001)]

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Modulational stability analysis & envelope structures

• $PQ > 0$: Modulational instability of the carrier, **bright solitons**.

→ TDLWs: possible for short wavelengths i.e. $k_{cr} < k < \pi/r_0$.
• Carrier wave is stable, **dark/grey solitons**:

→ TDLWs: possible for long wavelengths i.e. $k < k_{cr}$.
Rem.: $Q > 0$ for all known experimental values of α, β .
[Ilev et al., PRL **85**, 4060 (2000); Zafiu et al., PRE **63** 066403 (2001)].

Menu

3a. (nonlinear) longitudinal excitations.

The nonlinear equation of longitudinal motion reads:

$$\frac{d^2(\delta x_n)}{dt^2} = \omega_{0,L}^2 (\delta x_{n+1} + \delta x_{n-1} - 2\delta x_n) \\ - a_{20} [(\delta x_{n+1} - \delta x_n)^2 - (\delta x_n - \delta x_{n-1})^2] \\ + a_{30} [(\delta x_{n+1} - \delta x_n)^3 - (\delta x_n - \delta x_{n-1})^3]$$

\rightarrow **Cf. Fermi-Pasta-Ulam (FPU) problem:**

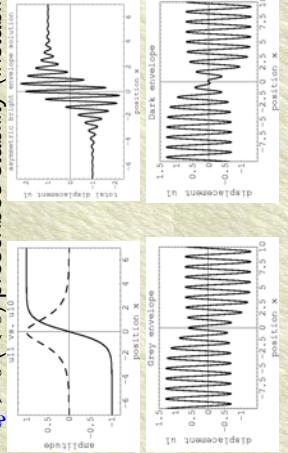
anharmonic spring chain model.

\rightarrow **asymmetric envelope solutions.**

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$- P = P_L = \omega_L''(k)/2 < 0;$

$- Q > 0 (< 0)$ prescribes stability (instability) at low (high) k .



I. Kourakis, Phys. Plasmas, **11**, 1384 (2004).

3b. Longitudinal soliton formalism.

A link to soliton theories:

- \rightarrow Continuum approximation, viz. $\delta x_n(t) \rightarrow u(x,t)$.
- \rightarrow "Standard" description: keeping lowest order nonlinearity,

$$\ddot{u} + \nu \dot{u} - c_L^2 u_{xx} - \frac{c_L^2}{12} r_0^2 u_{xxxx} = - p_0 u_x u_{xx}$$

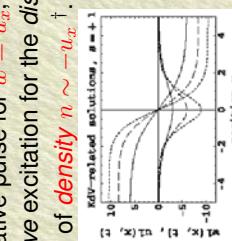
$c_L = \omega_{L,0} r_0$; $\omega_{L,0}$ and p_0 were defined above.

\rightarrow For near-sonic propagation (i.e. $v \approx c_L$), slow profile evolution in time τ and defining the relative displacement $w = u_\zeta$, one obtains (for $\nu = 0$) the Korteweg-deVries Equation:

$$w_\tau - a w w_\zeta + b w \zeta \zeta_\zeta = 0$$

Defs.: $\zeta = x - vt$; $a = p_0/(2c_L) > 0$; $b = c_L r_0^2/24 > 0$.

Experimental observation of LDL solitons



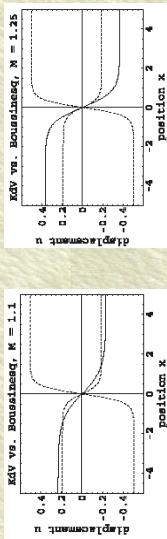
I. Kourakis, Phys. Plasmas, **11**, 1384 (2004).

Extended description: the Boussinesq theory

The Generalized Boussinesq (Bq) Equation (for $w = u_x$):

$$\ddot{w} - c_L^2 w_{xx} = \frac{c_L^2 r_0^2}{12} w_{xxx} - \frac{p_0}{2} (w^2)_{xx} + \frac{q_0}{2} (w^3)_{xx}$$

- predicts both compressive and rarefactive excitations;
- reproduces the correct qualitative character of the KdV solutions (amplitude - velocity dependence, ...); and, ...
- relaxes the velocity assumption, i.e. is valid $\forall v > c_L$.



[from: I. Kourakis & P K Shukla, European Phys. J. D, **29**, 247 (2004)].

[www.arxiv.org/pdf/0804.1087.pdf](http://arxiv.org/pdf/0804.1087.pdf) | [IOP Plasma Physics Group Annual Meeting, 1-4 March 2008](http://iopscience.iop.org/0953-4075/29/1/016)

4. Transverse Discrete Breathers (DBs)

• Eq. of motion in the transverse direction:

$$\frac{d^2 u_n}{dt^2} + \omega_{T,0}^2 (u_{n+1} + u_{n-1} - 2u_n) + \omega_g^2 u_n + \alpha u_n^2 + \beta u_n^3 = 0$$

• Damping may be neglected (for low plasma density and/or pressure): $\nu/\omega_g \simeq 0.00154$ [Misawa et al., PRB 2001].

• 1d DPCs are **highly discrete** lattice configurations:
 $\epsilon = \omega_0^2/\omega_g^2 \simeq 0.016$ [Misawa et al., 2001]; $\epsilon \simeq 0.181$ [Liu et al., 2003].

• One may seek **discrete breather** solutions (localized modes):

$$u_n(t) = \sum_m A_n(m) \exp(im\omega t)$$

where only few ($m \simeq 1 - 3$) sites are excited.

[www.arxiv.org/pdf/0804.1087.pdf](http://arxiv.org/pdf/0804.1087.pdf) | [IOP Plasma Physics Group Annual Meeting, 1-4 March 2008](http://iopscience.iop.org/0953-4075/29/1/016)

Conclusions - State of Art

- Dust crystals provide an excellent test-field for NL theories;
- Observations are possible at the **kinetic level**: unique possibility for physical data processing & real-time analysis;
- Technology for experiment: cheap and readily available;
- Link between Plasma Phys., Solid State Physics, Stat. Mech., Discrete Breathers: predicted;
- Theory (1d): Envelope solitons, (non-topological) solitons, Discrete Breathers: predicted;
- Experiment: Harmonic generation, compressive solitons, NL TDL oscillations, backward wave: observed (Urge for more :-));
- Future scope: dissipation, mode coupling, ... "Realism!"

Overview of existing results

1. **Transverse dust-lattice (TDL) motion (\sim NL KG, inv. disp.):**
 - Envelope (NLS) solitons [IK & P K Shukla, Phys. Plasmas **11**, 1384 (2004)]
 - DBs (ILMs) [V. Koukouloyannis & IK, PRE **76**, 016402 (2007)]
2. **1d: Longitudinal dust-lattice (LDL) motion (\sim FPU):**
 - Asymmetric envelope structures (coupled 0th/1st harmonics) [IK & P K Shukla, Phys. Plasmas **11**, 3865 (2004)]
 - KdV vs. eKdV / Bq solitons [IK & PKS, Eur. Phys. J. D **29**, 247 (2004)]
 - Rem.: experimentally observed (compressive case only)
3. **2D: In-plane ("LDL") motion in hexagonal DP crystals:**
 - Envelope structures [Farokhi, IK & PKS, Phys. Plasmas **13**, 122304 (2006)]
4. **2D: Out-of-plane (TDL) motion in hexagonal DP crystals:**
 - DBs, vortices (in preparation).

Future considerations & perspectives

1. **LDL-DBs ?** (\sim FPU);
2. **Damping** (dissipative system), ion drag, wake potentials, ...
3. Mixed **T-L Mode**: coupled FPU-NLKG Eqs. (ongoing work);
4. **2D hexagonal dust lattices**: vortices ? (seen experimentally);

5. **Experimental feedback:**

- establish & pursue contacts,
- seek confirmation of results, motivate experiments ...

→ **A lot remaining to be done!**

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Thank You ! -

Ioannis Kourakis

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V Koukouloyannis & IK, PRE 76, 016402 (2007). –

Slides available at: www.kourakis.eu

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